



Policy and Resources Committee

Date: THURSDAY, 17 JANUARY 2019

Time: 1.45 pm

Venue: COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

Members:

Deputy Catherine McGuinness (Chairman)	Jeremy Mayhew
Simon Duckworth (Deputy Chairman)	Andrew McMurtrie
Christopher Hayward (Vice-Chair)	Deputy Alastair Moss (Ex-Officio Member)
Deputy Tom Sleigh (Vice-Chair)	Deputy Joyce Nash
Randall Anderson (Ex-Officio Member)	Graham Packham (Ex-Officio Member)
Douglas Barrow	Alderman William Russell
Sir Mark Boleat	Alderman Baroness Scotland (Ex-Officio Member)
Deputy Keith Bottomley	John Scott (Chief Commoner) (Ex- Officio Member)
Tijs Broeke	Deputy Dr Giles Shilson
Henry Colthurst	Jeremy Simons (Ex-Officio Member)
The Rt. Hon the Lord Mayor,	Sir Michael Snyder
Alderman Peter Estlin (Ex-Officio Member)	Deputy John Tomlinson
Marianne Fredericks	Mark Wheatley
Deputy Jamie Ingham Clark	Deputy Philip Woodhouse
Deputy Edward Lord	Alderman Sir David Wootton
Alderman Ian Luder	

Enquiries: Gregory Moore
tel. no.: 020 7332 1399
gregory.moore@cityoflondon.gov.uk

Lunch will be served in Guildhall Club at 1PM
NB: Part of this meeting could be the subject of audio visual recording

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**

2. **MEMBERS DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

3. **MINUTES**

To consider minutes as follows:-

- a) To agree the public minutes of the meeting held on 13 December 2018.

For Decision
(Pages 1 - 10)

- b) To note the draft public minutes of the Resource Allocation Sub-Committee meeting held on 13 December 2018.

For Information
(Pages 11 - 16)

- c) To note the draft public minutes of the Projects Sub-Committee meeting held on 12 December 2018.

For Information
(Pages 17 - 26)

- d) To note the public minutes of the Public Relations and Economic Development Sub-Committee meeting held on 12 December 2018 and consider recommendations therein.

For Decision
(Pages 27 - 34)

- e) To note the draft public minutes of the Courts Sub-Committee meeting held on 10 December 2018.

For Information
(Pages 35 - 38)

4. **LONDON COUNCILS GRANT SCHEME**

Report of the Town Clerk.

For Decision
(Pages 39 - 44)

5. **POLICY INITIATIVES FUND AND COMMITTEE CONTINGENCY**

Report of the Chamberlain.

For Decision
(Pages 45 - 66)

6. **SPONSORSHIP OF CHILDREN'S BOOK WITH GUY FOX HISTORY PROJECT LIMITED**
Report of the Director of Communications.
For Decision
(Pages 67 - 68)
7. **SPONSORSHIP OF THE 2019 LONDON COUNCILS GUIDE TO DEVELOPMENT IN THE CITY OF OPPORTUNITY**
Report of the Director of Communications.
For Decision
(Pages 69 - 70)
8. **SPONSORSHIP OF THE ANNUAL REVIEW OF THE WOMEN IN FINANCE CHARTER**
Report of the Director of Communications.
For Decision
(Pages 71 - 74)
9. **SPONSORSHIP OF THE CPS MARGARET THATCHER CONFERENCE ON BRITAIN AND AMERICA**
Report of the Director of Communications.
For Decision
(Pages 75 - 76)
10. **SUMMER ENRICHMENT PILOT**
Report of the Director of Community and Children's Services.
For Decision
(Pages 77 - 82)
11. **SOCIAL MOBILITY STRATEGY UPDATE**
Report of the Chief Grants Officer and Director, City Bridge Trust.
For Information
(Pages 83 - 96)
12. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**
13. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**
14. **EXCLUSION OF THE PUBLIC**
MOTION - That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.
For Decision

Part 2 - Non-Public Agenda

15. NON-PUBLIC MINUTES

To consider non-public minutes of meetings as follows:-

- a) To agree the non-public minutes of the meeting held on 13 December 2018.

For Decision
(Pages 97 - 102)

- b) To note the draft non-public minutes of the Resource Allocation Sub-Committee meeting held on 13 December 2018.

For Information
(Pages 103 - 106)

- c) To note the draft non-public minutes of the Projects Sub-Committee meeting held on 12 December 2018.

For Information
(Pages 107 - 116)

- d) To note the draft non-public minutes of the Courts Sub-Committee meeting held on 10 December 2018.

For Information
(Pages 117 - 120)

16. OVERALL FINANCIAL POSITION AND MEDIUM TERM FINANCIAL PLANNING

Joint report of the Town Clerk and Chamberlain (TO FOLLOW).

For Discussion

17. BARKING POWER STATION: FUTURE GOVERNANCE

Report of the Director of Major Projects.

For Decision
(Pages 121 - 124)

18. GREEN FINANCE INSTITUTE GOVERNANCE

Report of the Director of Economic Development.

For Decision
(Pages 125 - 130)

19. FIRST REGISTRATION OF CITY'S FREEHOLD TITLES

Report of the Comptroller & City Solicitor.

For Decision
(Pages 131 - 136)

20. STRATEGIC PROPERTY ESTATE (CITY FUND & CITY'S ESTATE) - ANNUAL UPDATE & STRATEGY FOR 2019

Report of the City Surveyor.

For Information
(Pages 137 - 152)

21. **CITY'S ESTATE STRATEGY REPORT**
Report of the City Surveyor.
For Information
(Pages 153 - 176)
22. **CITY'S FUND STRATEGY UPDATE REPORT**
Report of the City Surveyor (TO FOLLOW).
For Information
23. **BRIDGE HOUSE ESTATES 2019 UPDATE AND STRATEGY**
Report of the City Surveyor (TO FOLLOW).
For Information
24. **DECISIONS TAKEN UNDER DELEGATED AUTHORITY OR URGENCY POWERS**
Report of the Town Clerk.
For Information
(Pages 177 - 180)
25. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**
26. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED.**
27. **CONFIDENTIAL MINUTE**
To note the draft confidential minute of the Resource Allocation Sub-Committee meeting on 13 December 2018.
For Information

This page is intentionally left blank

POLICY AND RESOURCES COMMITTEE

Thursday, 13 December 2018

Minutes of the meeting of the Policy and Resources Committee held at Committee Rooms, 2nd Floor, West Wing, Guildhall on Thursday, 13 December 2018 at 1.45 pm

Present

Members:

Deputy Catherine McGuinness (Chairman)
Simon Duckworth (Deputy Chairman)
Christopher Hayward (Vice-Chair)
Deputy Tom Sleigh (Vice-Chair)
Randall Anderson (Ex-Officio Member)
Douglas Barrow
Sir Mark Boleat
Deputy Keith Bottomley
Tijs Broeke
Henry Colthurst
Marianne Fredericks
Deputy Jamie Ingham Clark
Deputy Edward Lord
Alderman Ian Luder
Jeremy Mayhew
Andrew McMurtrie
Deputy Alastair Moss (Ex-Officio Member)
John Scott (Chief Commoner) (Ex-Officio Member)
Deputy Dr Giles Shilson
Jeremy Simons (Ex-Officio Member)
Sir Michael Snyder
Deputy John Tomlinson
Mark Wheatley
Deputy Philip Woodhouse
Alderman Sir David Wootton

Officers:

John Barradell	- Town Clerk and Chief Executive
Peter Kane	- The Chamberlain
Michael Cogher	- Comptroller and City Solicitor
Paul Double	- City Remembrancer
Paul Wilkinson	- City Surveyor
Ena Harrop	- Headmistress, City of London School for Girls
Sir Nicholas Kenyon	- Managing Director, Barbican Centre
Caroline Al-Beyerty	- Deputy Chamberlain
Damian Nussbaum	- Director of Economic Development
Bob Roberts	- Director of Communications
Peter Lisley	- Director of Major Projects
Angela Roach	- Assistant Town Clerk

Alex Berry	- Town Clerk's Department
Nick Bodger	- Town Clerk's Department
Emma Cunningham	- Town Clerk's Department
Greg Moore	- Town Clerk's Department
Simon McGinn	- City Surveyor's Department
Peter Young	- City Surveyor's Department
Simon Johnson	- Centre for Music Project Team
David Drane	- City of London Police

1. **APOLOGIES**

Apologies were received from the Rt Hon The Lord Mayor Alderman Peter Estlin, Alderman Tim Hailes, Deputy Joyce Nash, Graham Packham, and Alderman William Russell.

2. **MEMBERS DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

The Chair, Deputy Catherine McGuinness, declared a non-pecuniary interest in respect of item 4 as a Board Member of London & Partners.

3. **MINUTES**

- a) The public minutes of the Policy and Resources Committee meeting held on 15 November 2018 were approved.
- b) The public minutes of the **Projects Sub-Committee** meeting held on 7 November 2018 were received.
- c) The public minutes of the **Public Relations and Economic Development Sub-Committee** meeting held on 14 November 2018 were received.

4. **CITY OF LONDON VISITOR STRATEGY 2019/23**

The Committee considered a report of the Assistant Town Clerk & Culture Mile Director presenting the draft Visitor Strategy for 2019/23.

A Member suggested that the City's filming strategy should be factored into this overall strategy, given its popularity as a filming location. It was also noted that the references to the imminent opening of Crossrail in the strategy would need to be updated to reflect the recently announced delays.

RESOLVED: That the draft City of London Visitor Destination Strategy (2019-2023) as shown in appendix 1 be approved for further consultation, with it noted that Members would be asked to approve a final version in Spring 2019.

5. **FUNDING REQUEST TO SUPPORT AN EXTENSION OF HEART OF THE CITY'S RESPONSIBLE BUSINESS PROGRAMME**

The Committee considered a report of the Director of Economic Development seeking a long-term funding commitment for Heart of the City.

Whilst not disputing the merit and value of the work of Heart of the City, Members expressed caution around making any long-term funding decisions at

this particular point in time. Given the context of major funding considerations to be taken in the New Year, it was suggested that a more strategic overview should be taken of long-term spending commitments in their totality, rather than an ad hoc approach of considering individual applications as they arose at this point in time. As these discussions were due to take place in January, following debate it was agreed that funding should be approved for one year only at this stage, with a decision in relation to further funding to be taken in the context of wider discussions in the new year.

In relation to the wider question of long-term funding commitments, a Member observed that any requests should not generally be for periods longer than that of the Medium Term Financial Plan, to ensure that the funding envelope within each period was fully controlled and understood.

RESOLVED: That Members:-

1. Note Heart of the City's success in securing a significant amount of in-kind funding and membership fees over the last three years;
2. Agree one-year funding of £300,000, to be met from City's Cash;
3. Agree to consider funding for further years as part of the Medium Term Financial Planning Process.

6. **CITY OF LONDON CORPORATION'S MEMBERSHIP OF THECITYUK**

The Committee considered a report of the Director of Economic Development proposing continued membership of TheCityUK.

It was noted that the Public Relations and Economic Development Sub-Committee would be undertaking a strategic review of membership of think tanks and membership bodies across the piece at its forthcoming away day. Notwithstanding this however, it was agreed that it was important to confirm continued membership of TheCityUK at this point in time.

In relation to the proposed rental contribution, it was agreed that this should be sought through a base budget uplift rather than through the Policy Initiatives Fund, particularly in view of the forthcoming review of multi-year allocations from that Fund.

RESOLVED: That approval be given to:-

1. The renewal of TheCityUK membership for three further years (2019-22), on the same terms as for the period 2016-19, at a cost of £400,000 to be funded through EDO's base budget.
2. A request being made for a base budget uplift from Finance Committee and Resource Allocation Sub Committee of £100,000 for 2019/20 onwards, to fund a rental contribution for TheCityUK.

7. CITY CORPORATION MEMBER ATTENDING THE LUJIAZUI FORUM IN SHANGHAI

The Committee considered a report of the Director of Economic Development in relation to City Corporation representation at the Lujiazui Forum in Shanghai.

In response to questions it was advised that, whilst one of the Deputy or Vice Chairmen, a past Chairman or a former Lord Mayor would usually be asked to represent the City Corporation at such an event, there were particular circumstances and existing relationships related to Alderman Russell's proposed attendance in this instance.

RESOLVED: That approval be granted to Alderman William Russell attending the annual Lujiazui Forum on behalf of the City Corporation in June 2019.

8. CHEAPSIDE BUSINESS ALLIANCE BALLOT RENEWAL

The Committee considered a report of the City Surveyor concerning the potential extension of the Business Improvement District (BID) arrangements for the Cheapside Business Alliance (CBA).

RESOLVED: That Members:-

1. Note the contents of the report.
2. Agree to the CBA undertaking a perception analysis of businesses within the footprint of the BID area to determine the appetite for a second BID term.
3. Agree to CBA developing a draft Business Plan for approval by the City Corporation, should there be a positive response to the perception analysis.

9. ELECTORAL REGISTRATION - ANNUAL CANVASS REFORM

The Committee considered a report of the Town Clerk in relation to the Cabinet Office's current consultation on proposals to reform the annual canvass for the Electoral Register.

Introducing the report, the Electoral Services Manager informed the Committee that he and the Comptroller & City Solicitor had met with the Cabinet Office in the past week to discuss the implications of the proposals for the City. It had been clarified that there would be no obligation for the City to use the data emerging from these new arrangements as part of the annual canvass and that the City would therefore have the option to continue with its existing processes for updating both the electoral register and ward lists. On this basis, it was suggested that the City Corporation's response to the consultation should be to agree with the approach being taken by the Cabinet Office.

Responding to Members' questions, it was clarified that the proposed changes to legislation would be made via Statutory Instrument. Members also expressed concern that the wording of the letters to be sent to residents could cause unnecessary confusion and lead some to fail to realise they needed to register separately. The possibility of developing particular stationery and wording to assist residents and mitigate against this risk was discussed, with the Elections Manager undertaking to explore what could be done.

RESOLVED: That the City Corporation's response to the Cabinet Office consultation on annual canvass reform be to agree with the proposals.
Office consultation on annual canvass reform be to agree with the proposals.

10. **ENHANCING THE DIVERSITY OF THE COURT OF COMMON COUNCIL**

The Committee considered a report of the Town Clerk, submitted on behalf of the Members' Diversity Working Party, presenting that Working Party's proposals in respect of enhancing the diversity of the Court.

The Chair prefaced discussion by extending her gratitude on behalf of the Committee to the Working Party for its diligent and thorough work on this extremely important issue. Several other Members also spoke to thank the Members of the Working Party for the considered and considered manner in which they had progressed this area of work.

The Chairman of the Working Party spoke to introduce the report, observing that there were a large range of proposed measures in front of the Committee to be taken forward. Whilst he understood the desire that some might have to unpick or remove particular items, he urged that support be given to all the proposals as a package, noting that extensive deliberation had determined that these measures together would, in aggregation, achieve a significant and lasting impact.

Whilst appreciating the desire for the various measures to be taken forward in their entirety, several Members spoke to express concerns or reservations in respect of particular items. Other Members spoke to support the recommendations being approved as a package. During discussion, the following points were made:

- Members clarified that any targets to be set in respect of the Court should be in relation to candidacy only, rather than Members, cautioning that it was for the electorate to determine whom they wished to elect and the City Corporation should not be seen in any way to tell people whom they should vote for. The focus should therefore be on working to ensure that the electorate had a wide and diverse pool of candidates from which to choose.
- A Member suggested that there should be more focus on working with businesses to encourage a greater pool of candidates who represent the City workforce in relevant Wards.
- Members urged that thoughts around diversity not be limited to protected characteristics, noting that diversity of opinion from those of differing social backgrounds would be important.
- It was suggested that the arrangements by which Members were elected to the various committees should be explored, as this might help to achieve increased diversity of input during decision-making.
- Several Members spoke to express their views in relation to the timing of meetings and how they might be altered to facilitate greater engagement from those with full-time jobs.

- The question of remuneration for Members was referenced, with it observed that this had been discussed on a number of occasions and Members had only recently agreed that the matter should not be re-opened for the time being. It was clarified that the recommendation to have an external reviewer look at the matter did not imply an immediate review, but rather suggested that the review should be conducted by an independent party when it was next due to be considered.
- There was general consensus that the Working Party should be retained as currently constituted, rather than being changed to a Sub-Committee.
- Some Members expressed a concern about adopting a fixed or inflexible position in certain areas, such as declining invitations to appear on all-male panels, suggesting that there might be occasions with extenuating circumstances and where adopting a rigid policy would therefore be unhelpful and could have unintended consequences. Other Members observed that this practice had been widely adopted by many other organisations and was managed pragmatically and effectively.
- A Member highlighted concerns in relation to the process for the election of Sheriffs and other officers at Common Hall, urging that consideration be given to the diversity of the electorate for these posts.

In concluding debate, Members noted that each of the individual recommendations would be subject to further reports or decision-making by the Committee in due course. On this basis, Members were pleased to endorse the suite of proposals presented and support the direction of travel.

RESOLVED: That the activities recommended by the Members' Diversity Working Party, including the priorities assigned to them, be approved as set out in Appendix B to the report.

11. **ENHANCING SPORT ENGAGEMENT**

The Committee considered a report of the Director of Communications concerning a strategy on sports engagement.

Members were advised that the Public Relations and Economic Development Sub Committee had considered this paper the previous day and, whilst they had agreed with the recommendations, had also asked the Town Clerk to review where the role should sit at officer level and whether extra funding might be needed for activation of the strategy.

The Resource Allocation Sub-Committee had also considered and approved this report earlier that day.

RESOLVED: That an uplift to the Town Clerk's annual budget of £80k to fund the additional Corporate Affairs Officer be approved.

12. **REVENUE AND CAPITAL BUDGETS 2019/20**

The Committee considered a joint report of the Town Clerk, Chamberlain and Remembrancer presenting the annual submission of those revenue and capital budgets overseen by the Committee and seeking approval to the provisional

revenue budgets for 2019/20, for subsequent submission to the Finance Committee.

RESOLVED: That Members:-

1. note the latest 2018/19 revenue budget;
2. approve the budget for submission to the Finance Committee;
3. authorise the Chamberlain to revise these budgets to allow for further implications arising from any corporate efficiency projects; and
4. note the draft capital and supplementary revenue budget.

13. **POLICY INITIATIVES FUND AND COMMITTEE CONTINGENCY**

The Committee received a report of the Chamberlain updating on projects and activities that had received Policy Initiatives Funding and funding from the Committee's contingency for 2018/19.

The Chair observed that a report concerning the issue of multi-year requests for PIF funding was now overdue and urged that this be produced in time for the next meeting.

RESOLVED: That the report be received and its contents noted.

14. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

There was one question:

Support for a Second Referendum on Brexit

A Member gave notice that he intended to submit a Motion to the next meeting of the Court, proposing that the City Corporation move to support a second referendum on Brexit. This was in view of the fact that the terms negotiated by the government seemed unlikely to be approved by Parliament, the significant prospective damage to London's position as an international business centre, and recent soundings from various London bodies and boroughs expressing support for a second referendum.

Ahead of this Motion being submitted, he asked if the Chair would agree to liaise with other London boroughs, the London Assembly and the Mayor of London, to ascertain their views. The Chair agreed to take general soundings, but noted that it might not prove practicable to speak with all the organisations directly ahead the deadline for the submission of Motions.

15. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

It was advised that Item 23, Project Funding Update, had erroneously been included on the non-public side of the agenda. This item had been considered by the Resource Allocation Sub-Committee in public session earlier that day and also published in full in public. The item would also need to be considered in public session at this meeting.

Project Funding Update

The Committee considered a report of the Chamberlain requesting the allocation of central funding to allow two projects (City Mental Health Centre and City Wayfinding Signage) to be progressed.

RESOLVED: That:-

1. The following funds to allow two projects to progress be approved:
 - a. Up to £1.444k from the On-Street Parking Reserve towards the cost of installing new City wayfinding signage; and
 - b. Up to £455k from the social and community CIL pot to fund adaptation and renovation costs to provide a new City Mental Health Centre.
2. The potential shortfall in the 2019/20 provisions for new schemes due to the deferral of unfunded projects from the current year be noted. It be noted that the situation would be kept under review and further reported when proposals have been more firmly quantified.
3. It be noted that there are emerging unfunded projects amounting to significant sums beyond the scope of the provisions for new schemes, and that funding would be considered as part of the medium-term financial planning process to be reported in January.

16. EXCLUSION OF THE PUBLIC

RESOLVED – That under Section 100A(4) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part 1 of Schedule 12A of the Local Government Act.

<u>Item No.</u>	<u>Paragraph No.</u>
17-22, 24-26	3
29	1, 2
30	2

17. NON-PUBLIC MINUTES

- a) The non-public minutes of the **Policy and Resources Committee** meeting held on 15 November 2018 were approved.
- b) The draft non-public minutes of the **Culture Mile Working Party** meeting held on 3 October 2018 were noted.
- c) The draft non-public minutes of the **Lighting Up Deputation** meeting held on 30 October 2018 were noted.
- d) The non-public minutes of the **Projects Sub-Committee** - meeting held on 7 November 2018 were noted.
- e) The non-public minutes of the **Public Relations and Economic Development Sub-Committee** meeting held on 14 November 2018 were noted.

- f) The minutes of the **Members' Diversity Working Party** meeting held on 19 November 2019 were noted.
18. **RESOLUTION OF THE MARKETS COMMITTEE REGARDING CITY'S ESTATE: MUSEUM OF LONDON RELOCATION UPDATE**
The Committee noted a resolution of the Markets Committee concerning a recent issue in relation to reporting and resourcing levels.
19. **MAJOR PROJECTS FINANCING**
The Committee considered and approved a report of the Chamberlain concerning the financing of major projects.
20. **CENTRE FOR MUSIC - BUSINESS CASE**
The Committee considered and approved a joint report of the Managing Director (Barbican Centre) and the City Surveyor presenting a business case for the Centre for Music project.
21. **BRIDGE HOUSE ESTATES STRATEGIC REVIEW - UPDATE**
The Committee considered and approved a joint report of the Town Clerk and the Chief Grants Officer & Director of the City Bridge Trust regarding a strategic review of the Bridge House Estates.
22. **MEMORANDUM OF ENGAGEMENT WITH BEIJING MUNICIPAL GOVERNMENT FOR UK-CHINA GREEN FINANCE AND TECHNOLOGY INCUBATOR**
The Committee considered and approved a report of the Director of Economic Development concerning a proposed Memorandum of Engagement.
23. **PROJECT FUNDING UPDATE**
This item was considered in public session at Item 15.
24. **GATEWAY 1/2/3/4 - CITY OF LONDON SCHOOL FOR GIRLS EXPANSION PROJECT**
The Committee considered and approved a report of the Headmistress of the City of London School for Girls seeking approval for a project to expand the School.
25. **GATEWAY 6 - ACTION AND KNOW FRAUD CENTRE - CONTRACT**
The Committee considered a report of the Commissioner of the City of London Police regarding the Action and Know Fraud Centre.
26. **MARKETS CONSOLIDATION PROGRAMME: APPOINTMENT OF A STRATEGIC PROPERTY ADVISER**
The Committee considered and approved a report of the City Surveyor proposing the appointment of a Strategic Property Advisor for the Markets Consolidation Programme.
27. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**
There were no questions.

28. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED.**

There were no urgent items.

29. **CONFIDENTIAL STAFFING MATTER**

The Committee considered and approved a report of the Town Clerk in relation to the creation of a post.

30. **CONFIDENTIAL REPORT OF THE DIRECTOR OF ECONOMIC DEVELOPMENT**

The Committee considered and approved a report of the Director of Economic Development regarding work in relation to global competitiveness.

The meeting ended at 3.40 pm

Chairman

Contact Officer: Gregory Moore
tel. no.: 020 7332 1399
gregory.moore@cityoflondon.gov.uk

RESOURCE ALLOCATION SUB (POLICY AND RESOURCES) COMMITTEE

Thursday, 13 December 2018

Minutes of the meeting of the Resource Allocation Sub (Policy and Resources)
Committee held at Committee Rooms, 2nd Floor, West Wing, Guildhall on Thursday,
13 December 2018 at 12.00 pm

Present

Members:

Deputy Catherine McGuinness (Chairman)	Christopher Hayward
Jeremy Mayhew (Deputy Chairman)	Deputy Edward Lord
Sir Mark Boleat	Deputy Dr Giles Shilson
Deputy Keith Bottomley	Sir Michael Snyder
Deputy Jamie Ingham Clark	Deputy John Tomlinson
Marianne Fredericks	

In Attendance

Officers:

John Barradell	- Town Clerk and Chief Executive
Peter Lisley	- Assistant Town Clerk & Culture Mile Director
Emma Cunningham	- Town Clerk's Department
Gregory Moore	- Town Clerk's Department
Peter Kane	- Chamberlain
Caroline Al-Beyerty	- Deputy Chamberlain
Paul Wilkinson	- City Surveyor
Peter Young	- City Surveyor's Department
Carolyn Dwyer	- Director of the Built Environment
Ena Harrop	- Headmistress, City of London School for Girls
Bob Roberts	- Director of Communications
Damian Nussbaum	- Director of Economic Development
Simon Cribbens	- Department of Community & Children's Services
Nigel Lefton	- Remembrancer's Department
David Drane	- City of London Police
Peter O'Doherty	- City of London Police

1. APOLOGIES

Apologies were received from Henry Colthurst, Simon Duckworth and Deputy Joyce Nash.

2. MEMBERS DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA

There were none.

3. **MINUTES**

The public minutes and summary of the meeting held on 4 October 2018 were approved as a correct record.

4. **MENTAL HEALTH CENTRE**

The Sub-Committee considered a report of the Director of Community and Children's Services seeking approval for the drawdown of Community Infrastructure Levy (CIL) funds for a mental health centre for those working and living in the Square Mile.

It was clarified that, since the drafting of the report, discussions with the City Surveyor had identified that an additional sum of approximately £70,000 of CIL monies might be required beyond the £451,000 being requested here. Members were therefore asked to approve the £451,000 whilst clarity was obtained in relation to the additional sum, delegating authority to the Town Clerk in conjunction with the Chairman and Deputy Chairman to grant approval to this latter figure once confirmed.

A Member suggested that the report could perhaps have contained more information on the mental health centre itself, although it was noted that this was included within the Project Funding report at item 5. Members also spoke to support the City Corporation's increased emphasis on mental health support across the board.

RESOLVED: That:-

1. The mental health centre be allocated funding to an amount up to £451,000 from a drawdown from the Community Infrastructure Levy (CIL); and
2. Authority be delegated to the Town Clerk, in consultation with the Chairman and Deputy Chairman, to consider an additional funding request of c.£70,000.

5. **PROJECT FUNDING UPDATE**

The Sub-Committee considered a report of the Chamberlain requesting the allocation of central funding to allow two projects (City Mental Health Centre and City Wayfinding Signage) to be progressed.

Members discussed the current funding pressures being experienced by Transport for London (TfL), largely associated with the delays to the opening of the Elizabeth Line. It was suggested that these pressures might have an impact on forthcoming public realm projects and TfL's ability to contribute towards them.

RESOLVED: That:-

1. The following funds to allow two projects to progress be approved:
 - a. Up to £1.444k from the On-Street Parking Reserve towards the cost of installing new City wayfinding signage; and
 - b. Up to £455k from the social and community CIL pot to fund adaptation and renovation costs to provide a new City Mental Health Centre.

2. The potential shortfall in the 2019/20 provisions for new schemes due to the deferral of unfunded projects from the current year be noted. It be noted that the situation would be kept under review and further reported when proposals have been more firmly quantified.
3. It be noted that there are emerging unfunded projects amounting to significant sums beyond the scope of the provisions for new schemes, and that funding would be considered as part of the medium-term financial planning process to be reported in January.

6. **ENHANCING SPORT ENGAGEMENT**

Members considered a report of the Director of Communications concerning a strategy on sports engagement.

Members were advised that the Public Relations and Economic Development Sub Committee had considered this paper the previous day and, whilst they had agreed with the recommendations, had also asked the Town Clerk to review where the role should sit at officer level and whether extra funding might be needed for activation of the strategy.

RESOLVED: That an uplift to the Town Clerk's annual budget of £80k to fund the additional Corporate Affairs Officer be approved.

7. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

There were no questions.

8. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

There were no urgent items of business.

9. **EXCLUSION OF THE PUBLIC**

RESOLVED – That under Section 100A(4) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part 1 of Schedule 12A of the Local Government Act.

<u>Item No.</u>	<u>Paragraph No.</u>
10-12	3
13	<i>Item withdrawn</i>
14-15	3
18	2
19-21	1, 2, 3

10. **NON-PUBLIC MINUTES**

The non-public minutes of the Sub-Committee meeting held on 4 October 2018 were approved as a correct record.

11. **GUILDHALL ADMINISTRATION BUDGET REPORT - REQUEST FOR ADDITIONAL FUNDING 2019/20 AND ONWARDS**
The Sub-Committee considered and approved a report of the City Surveyor outlining a request for additional funding in relation to the administration of the Guildhall Complex.
12. **REQUEST FOR ADDITIONAL FUNDING OF A FIRE SAFETY COMPLIANCE OFFICER POST**
The Sub-Committee considered and approved a report of the City Surveyor requesting additional funding for a Fire Safety Compliance Officer post.
13. **GRESHAM COLLEGE FUNDING**
This item was withdrawn.
14. **GATEWAY 1/2/3/4 - CITY OF LONDON SCHOOL FOR GIRLS EXPANSION PROJECT**
The Sub-Committee considered and approved a report of the Headmistress of the City of London School for Girls seeking approval for a project to expand the School.
15. **GATEWAY 6 - ACTION AND KNOW FRAUD CENTRE - CONTRACT**
The Sub-Committee considered a report of the Commissioner of the City of London Police regarding the Action and Know Fraud Centre.
16. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**
There was one question regarding the delay in delivery of the Elizabeth Line (Crossrail).
17. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE SUB-COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**
There were no urgent items of business.
18. **STRENGTHENING WORK ON GLOBAL COMPETITIVENESS**
The Sub-Committee considered and approved a report of the Director of Economic Development regarding the strengthening of work on global competitiveness.
19. **APPROVAL AND FUNDING OF MARKET FORCE SUPPLEMENTS - CITY SURVEYOR'S DEPARTMENT**
The Sub-Committee considered and approved a report of the City Surveyor regarding the award of Market Force Supplements in the City Surveyor's Department.
20. **APPROVAL AND FUNDING OF MARKET FORCE SUPPLEMENTS - CITY SURVEYOR'S DEPARTMENT**
The Sub-Committee considered and approved a further report of the City Surveyor regarding the award of Market Forces Supplements within the City Surveyor's Department.

The meeting ended at 12.45 pm

Chairman

Contact Officer: Gregory Moore
tel. no.: 020 7332 1399
gregory.moore@cityoflondon.gov.uk

This page is intentionally left blank

PROJECTS SUB (POLICY AND RESOURCES) COMMITTEE

Wednesday, 12 December 2018

**Minutes of the meeting of the Projects Sub (Policy and Resources) Committee
held at the Guildhall EC2 at 10.00 am**

Present

Members:

Deputy Keith Bottomley (Chairman)
Deputy Jamie Ingham Clark (Deputy
Chairman)
Randall Anderson
Karina Dostalova

Marianne Fredericks
Deputy Catherine McGuinness
Andrew McMurtrie
Deputy Philip Woodhouse

Officers:

Peter Lisley	- Assistant Town Clerk & Director of Major Projects
Rohit Paul	- Town Clerk's Department
Sarah Baker	- Town Clerk's Department
Alistair MacLellan	- Town Clerk's Department
Caroline Al-Beyerty	- Deputy Chamberlain
Chris Bell	- Chamberlain's Department - Procurement
Jonathan Chapman	- Chamberlain's Department
Ola Obadara	- City Surveyor's Department
Chris Hartwell	- City Surveyor's Department
Simon Glynn	- Department for the Built Environment
Leah Coburn	- Department for the Built Environment
Melanie Charalambous	- Department for the Built Environment
Paul Monaghan	- Department for the Built Environment
Paul Murtagh	- Department for Community and Children's Services
Gerald Mehrtens	- Department for Community and Children's Services
Colin BATTERY	- Director of Open Spaces
Gary Burks	- Open Spaces Department
Cornell Farrell	- Barbican Centre
Kiran Jafari	- Barbican Centre
David Drane	- City of London Police
Pete O'Doherty	- City of London Police
Pauline Weaver	- City of London Police
Sarah Williams	- City of London Police

1. APOLOGIES

Apologies were received from Nick Bensted-Smith, Anne Fairweather, Chris Hayward and James Tumbridge.

2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

There were no declarations.

3. **MINUTES**

RESOLVED, that the public minutes and non-public summary of the meeting held on 7 November 2018 be approved as a correct record.

4. **ACTIONS**

Members considered a report of the Town Clerk regarding actions arising from previous meetings and the following points were made.

Great Arthur House – Gateway 7 Outcome Report

The Town Clerk noted that the Great Arthur House Gateway 7 Outcome report was due at the January 2019 meeting.

New Project Procedure

The Chairman noted that the new project procedure would go live from January 2019, and that explanatory messaging would be issued to both officers and Members to highlight the change in procedure. The refreshed policy around projects would be reviewed by Members in April 2019 once additional resources had been secured for the Town Clerk's Project Management Office.

Project Managers' Academy

The Chairman noted that this action was an item on the agenda that day at Item 6 (Projects Management Academy) and could therefore be closed.

Mansion House External Cleaning

The Town Clerk noted that the final option would be considered at Gateway 5 (not Gateway 4) in May 2019. In response to a comment from a Member regarding the length of time for the project to reach Gateway 5, the City Surveyor agreed to circulate the project schedule to Members outside of the meeting.

Blackfriars Bridge Parapet Refurbishment/*Illuminated River*

The Director of the Built Environment noted that this action had been fed into the project and that monthly meetings were taking place between relevant officers. He noted that access was proving an issue due to constraints imposed by the Thames Tideway Tunnel project. Members agreed this action could be closed.

Public Realm Projects

The Chairman noted that this action was on the agenda that day at Item 15 (Review of Projects within the Department of the Built Environment) and could therefore be closed.

RESOLVED, that the report be received.

5. **GATEWAY APPROVAL PROCESS**

RESOLVED, that the Gateway Approval Process be noted.

6. **PROJECT MANAGEMENT ACADEMY**

Members considered a report of the Director of Human Resources regarding the Project Management Academy and the following points were made.

- The Chairman welcomed the report and stated that in his view the establishment of the academy would play a major role in ensuring effective delivery of projects by the City of London Corporation.
- The Director of Human Resources noted that two levels of project management qualifications would be offered by the academy: a foundation level qualification for any staff involved in the administration and delivery of projects, and an advanced qualification for staff responsible for leading on the delivery of projects or programmes.
- In response to a question from a Member, the Director of Human Resources noted that core elements of all standard project management methodologies would be offered by the academy.

RESOLVED, that the report be received.

7. **GATEWAY 3/4 ISSUE - MIDDLESEX STREET ESTATE LIFT REFURBISHMENT**

Members considered a Gateway 3/4 Issue report of the Director of Community and Children's Services regarding the Middlesex Street Estate Lift Refurbishment.

RESOLVED, that Members

- Approve an increase of £522,500.00 to the total estimated cost of the project, noting a new total of £1,555,000.00, comprised of £1,382,500.00 for the tendered refurbishment works and £172,500.00 to cover fees and staff costs.
- Note that a Gateway 5 – Authority to Start Work report will be submitted for Chief Officer approval as per the regular approval track.

8. **GATEWAY 2 PROJECT PROPOSAL - 80 FENCHURCH STREET S278**

Members considered a Gateway 2 Project Proposal report of the Director of the Built Environment regarding 80 Fenchurch Street s278.

RESOLVED, that Members

- Approve the commencement of the project;
- Approve the recovery of existing shadow code staff costs from the received £40,000 design and evaluation sum;
- Delegate authority to the Director of the Built Environment to approve the start of work (Gateway 5);

- Delegate authority to the Director of the Built Environment to approve budget adjustments within the approved total project budget amount.

9. **GATEWAY 2 PROJECT PROPOSAL - CREED COURT S278**

Members considered a Gateway 2 Project Proposal report of the Director of the Built Environment regarding Creed Court s278.

RESOLVED, that Members

- Authorise officers to enter into a s278 agreement with the developer;
- Authorise officers to proceed with the evaluation process with a Gateway 3/4 Options Appraisal report in due course, under the Regular reporting process.

10. **GATEWAY 3/4/5 - FREDERICK'S PLACE ENVIRONMENTAL ENHANCEMENTS S278**

Members considered a Gateway 3/4/5 Authority to Start Work report of the Director of the Built Environment regarding Frederick's Place Environmental Enhancements s78 and the following points were made. The Town Clerk referred Members to a tabled letter from the developer regarding the proposed colour palette of the scheme that had been received since the agenda papers had gone to press.

RESOLVED, that

- The project be approved at a cost of £543,230, as per the report and letter requesting the change of colour palette dated 30 November 2018 from the Mercers' Company, as funded by a Section 278 agreement with the developer of 1-3,7&8 Frederick's Place, The Mercers' Company;
- Authority be given for the release of funds to purchase long lead-time materials and associated costs amounting to £43,500, in advance of the full s278 payment to avoid delays to the programme, subject to the letter of agreement with the developer. The amount to be deducted from the full s278 payment;
- Authority to start work be granted subject to completion of the s278 and receipt of full funding from the developer;
- Approval be given for City officers to publish proposals in relation to any necessary traffic orders or other consents to implement the project as described in this report (Traffic orders will be necessary to implement a loading restriction, relocate the motorcycle parking and to remove the disabled parking bay);
- Delegated authority be given to the Director of Transportation and Public Realm to consider any objections to the traffic orders detailed in this report;

- Any underspend from the previous gateway be transferred to the implementation budget;
- Delegated authority be given to the Assistant Director of City Public Realm and Head of Finance to adjust the project budget between staff costs, fees and works providing the overall budget is not exceeded.

11. GATEWAY 2 PROJECT PROPOSAL - BARBICAN ESTATE REDECORATION PROGRAMME 2020-2025

Members considered a Gateway 2 Project Proposal report of the Director of Community and Children's Services regarding the Barbican Estate Redecoration Programme 2020-2025.

RESOLVED, that Members

- Approve the project to progress to Gateway 3/4 (Options Appraisal) via the regular approval track.
- Approve a budget of £37,500 to reach the next Gateway.

12. GATEWAY 5 ISSUE - THAMES COURT FOOTBRIDGE

Members considered a Gateway 5 Issue report of the Director of the Built Environment regarding the Thames Court Footbridge. Members noted that the bridge was scheduled to be open to the public in February 2019.

RESOLVED, that the report be received.

13. GATEWAY 3/4 OPTIONS APPRAISAL - CREMATOR REPLACEMENT - CITY OF LONDON CEMETERY AND CREMATORIUM

Members considered a Gateway 3/4 Options Appraisal report of the Director of Open Spaces regarding Cremator Replacement at the City of London Cemetery and Crematorium and the following points were made.

- In response to a question from a Member, the Director of Open Spaces replied that officers were seeking to replace the cremators at the present time rather than waiting for further central government guidelines as the existing cremators were twenty years old and beginning to fail. Replacing the existing cremators in a timely fashion would minimise the levels of mercury that was being emitted.
- In response to a comment from a Member, the Chamberlain agreed to circulate a corrected summary of the level of payback that could be expected from the replacement cremators.
- The Chairman congratulated the Director of Open Spaces for the comprehensive project risk register included within the report.

RESOLVED, that Members

- Approve Option 5 (Remove all old cremators and replace with two new cremators complete with flue gas treatment plant).
- Approve a total project budget of £1,100,000.

14. **QUEENHITHE AND VINTRY PUBLIC REALM IMPROVEMENTS PROGRAMME REPORT**

Members considered a Queenhithe and Vintry public realm improvements programme report of the Director of the Built Environment regarding Mansion House Station environs public realm enhancements (Gateway 3), Queensbridge House Hotel s278 (Gateway 2) and Globe View Walkway (Gateway 4/5) and the following points were made.

- The Chairman noted that the new format of the report sought to provide Members with a combined approach to considering similar public realm projects.
- In response to a question, the Director of the Built Environment replied that the delays to the Queensbridge House Hotel project were due to construction delays faced by the developer of the hotel.

RESOLVED, that Members

- Agree the proposed programming approach which will include joint project Gateway reporting and updates;
- Approve funding of £65,000 from TfL Local Implementation Plan (LIP) to progress the Globe View Walkway project to Gateway 4/5;
- Approve Option 2 of the Mansion House Station Environs project with funding of £71,500 from TfL LIP to progress to Gateway 4/5;
- Approve initiation of the Queensbridge House Hotel S278 project with funding of £57,800 from the developer to reach the next gateway.

15. **REVIEW OF PROJECTS WITHIN THE BUILT ENVIRONMENT DIRECTORATE**

Members considered a report of the Director of the Built Environment regarding a review of projects within the Built Environment Directorate and the following points were made.

- A Member welcomed the review and noted that it took a considerable degree of institutional courage to cancel initiated projects, particularly given the number of strategic projects forecast by the City of London Corporation over the next decade.

RESOLVED, that Members

- Agree the project prioritisation approach outlined within the report at paragraphs 15-18.
- Agree that those projects which are (a) fully funded by s278 monies (b) have Gateway 5 approval (c) are fully funded by Bridge House Estate or (d) are fully funded by s106 monies fall outside the scope of the review.
- Agree the allocation of s106 monies as set out in in Appendix 3 and allocate any additional funding associated with the specified s106 agreements as a result of interest or indexation in accordance with Table E.
- Note that the funding allocation set out in Table E (Appendix 3) is committed to the projects identified and will be transferred to project budgets upon Member approval of individual project reports via the Gateway approval process.
- Agree to the archiving of 43 pre-project proposals (at Gateway 0) from the *Project Vision* system as set out in Table D.
- Note that a forthcoming report will be brought to Committees in Quarter 1, 2019 which will outline a list of current projects to be continued, reduced in scope or stopped, for Members' approval
- Note that a ten-year plan of future prioritised projects, to be reviewed annually, will be appended to the forthcoming report (described in recommendation 6).

16. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE

There were no questions.

17. ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT

There were no items of urgent business.

18. EXCLUSION OF THE PUBLIC

RESOLVED, that under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following item(s) on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

19. NON-PUBLIC MINUTES

RESOLVED, that the non-public minutes of the meeting held on 7 November 2018 be approved as a correct record.

20. NON-PUBLIC ACTIONS

Members considered a report of the Town Clerk regarding non-public actions arising from previous meetings.

21. **VERBAL UPDATE - CITY OF LONDON PRIMARY ACADEMY ISLINGTON**
Members considered a tabled report of the Director of Community and Children's Services regarding City of London Primary Academy Islington Contract Issue Approval.
22. **GATEWAY 6 ISSUE - ACTION AND KNOW FRAUD CENTRE - CONTRACT**
Members considered a Gateway 6 Issue report of the Commissioner of Police regarding Action and Know Fraud Centre – Contract.
23. **GATEWAY 1/2/3/4 - CITY OF LONDON SCHOOL FOR GIRLS EXPANSION PROJECT**
Members considered a Gateway 1/2/3/4 report of the Headmistress regarding the City of London School for Girls Expansion Project.
24. **GATEWAY 1/2/3/4/5 AUTHORITY TO START WORK - CITY OF LONDON FREEMEN'S SCHOOL SPORTS HALL ROOF**
Members considered a Gateway 1/2/3/4/5 Authority to Start Work report of the City Surveyor regarding the City of London Freeman's School Sports Hall Roof.
25. **GATEWAY 4 ISSUE - CITY OF LONDON FREEMEN'S SCHOOL MAIN HOUSE REFURBISHMENT - ADDITIONAL FEE REQUEST**
Members considered a Gateway 4 Issue report of the City Surveyor regarding the City of London Freeman's School Main House Refurbishment – Additional Fee Request.
26. **CITY OF LONDON POLICE IT MODERNISATION EXECUTIVE SUMMARY**
Members considered a joint report of the Chamberlain and Commissioner of Police regarding the City of London Police IT Modernisation Executive Summary.
- 26.1 **Gateway 1/2/3 Project Proposal and Options Appraisal - City of London Police IT Modernisation Managed Desktop & O365**
Members considered a Gateway 1/2/3 Options Appraisal joint report of the Chamberlain and Commissioner of Police regarding City of London Police IT Modernisation Managed Desktop and O365.
- 26.2 **Gateway 1/2/3 Project Proposal & Options Appraisal - City of London Police IT Modernisation Security Zone**
Members considered a Gateway 1/2/3 Options Appraisal joint report of the Chamberlain and Commissioner of Police regarding the City of London Police IT Modernisation Security Zone.
27. **PROJECT CLOSURE - CUPOLA HOUSE, 209-212 TOTTENHAM COURT ROAD, LONDON WC1**
Members considered a project closure report of the City Surveyor regarding Cupola House, 209-212 Tottenham Court Road, London, WC1.

28. **GATEWAY 7 OUTCOME - CITY OF LONDON SCHOOL FOR GIRLS REFURBISHMENT PROGRAMME**
Members considered a Gateway 7 Outcome report of the Headmistress regarding the City of London School for Girls Refurbishment Programme.
29. **GATEWAY 7 OUTCOME - CITY OF LONDON POLICE MOBILE WORKING SERVICES**
Members considered a Gateway 7 Outcome report of the Commissioner of Police regarding City of London Police Mobile Working Services.
30. **GATEWAY 3/4 OPTIONS APPRAISAL - BARBICAN ART GALLERY 2019**
Members considered a Gateway 3/4 Options Appraisal report of the Managing Director, Barbican Centre, regarding the Barbican Art Gallery 2019.
31. **GATEWAY 1/2/3 PROJECT PROPOSAL & OPTIONS APPRAISAL - IT TRANSFORMATION PHASE II IT SERVICE 2020 CONTRACT - SOURCING**
Members considered a Gateway 1/2/3 Options Appraisal joint report of the Chamberlain and Commissioner of Police regarding City of London IT Transformation Phase II IT Service 2020 Contract – Sourcing.
32. **GATEWAY 1/2/3/4/5 AUTHORITY TO START WORK - IPG CYCLICAL LIFT PROJECTS 2018**
Members considered a Gateway 1/2/3/4/5 Authority to Start Work report of the City Surveyor regarding Investment Property Group (IPG) Cyclical Lift Projects 2018.
33. **BUILDINGS PROGRAMME - RED, AMBER AND GREEN PROJECTS UPDATE REPORT**
Members considered a report of the Director of Community and Children's Services regarding the Buildings Programme (Housing Projects) – Red, Amber and Green projects.
34. **OPEN SPACES PROGRAMME - RED, AMBER AND GREEN PROJECTS UPDATE REPORT**
Members considered a report of the Director of Open Spaces regarding the Open Spaces Programme – Red, Amber and Green projects.
35. **TOWN CLERK'S PROGRAMME - RED, AMBER AND GREEN PROJECTS UPDATE REPORT**
Members considered a report of the Town Clerk regarding the Town Clerk's Programme – Red, Amber and Green projects.
36. **TRANSPORTATION AND PUBLIC REALM PROGRAMME - RED, AMBER AND GREEN PROJECTS UPDATE REPORT**
Members considered a report of the Director of the Built Environment regarding the Transportation and Public Realm Programme – Red, Amber and Green projects.

37. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE

There was one question.

38. ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED

There were no items of urgent business.

39. CONFIDENTIAL MINUTES - CIRCULATED SEPERATELY

RESOLVED, that the confidential minutes of the meeting held on 7 November 2018 be approved as a correct record.

The meeting closed at 12.40 pm

Chairman

Contact Officer: Alistair MacLellan / alistair.maclellan@cityoflondon.gov.uk

PUBLIC RELATIONS AND ECONOMIC DEVELOPMENT SUB (POLICY & RESOURCES) COMMITTEE

Wednesday, 12 December 2018

Minutes of the meeting of the Public Relations and Economic Development Sub (Policy & Resources) Committee held at the Guildhall EC2 at 12.00 pm

Present

Members:

Deputy Catherine McGuinness (Chair)
Sir Mark Boleat
Deputy Keith Bottomley
Tijs Broeke
Anne Fairweather
Sophie Anne Fernandes
Deputy Jamie Ingham Clark

Deputy Edward Lord
Andrew Mayer
Jeremy Mayhew
Alderman William Russell
Deputy Tom Sleigh
James Tumbridge

In Attendance:

Karina Dostalova
Mark Wheatley
Deputy Philip Woodhouse

Officers:

John Barradell	- Town Clerk & Chief Executive
Paul Double	- Remembrancer
Damian Nussbaum	- Director of Economic Development
Bob Roberts	- Director of Communications
Vic Annells	- Executive Director of Mansion House & the Central Criminal Court
Nigel Lefton	- Remembrancer's
Giles French	- Economic Development Office
Eugenie de Naurois	- Communications Team

1. APOLOGIES FOR ABSENCE

Apologies for absence were received from the Rt Hon the Lord Mayor, Alderman Peter Estlin, Christopher Hayward and Alderman Sir David Wootton.

2. MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA

There were no declarations of interest.

3. MINUTES

RESOLVED – That the public minutes and summary of the meeting held on Wednesday 14 November 2018 be approved as a correct record.

4. **OUTSTANDING ACTIONS**

Members received an outstanding actions report of the Town Clerk. The Town Clerk updated Members that whilst three actions were overdue, all three reports were now due for the January meeting.

RESOLVED, that:

- The report be noted.

5. **PRESENTATION FROM THE CHIEF EXECUTIVE OF THECITYUK, MILES CELIC**

The Sub-Committee received a presentation from the Chief Executive of TheCityUK, Miles Celic.

The Policy Chair introduced the Chief Executive, and made clear that TheCityUK was a critical partnership for the City of London Corporation, especially at this time. Members heard how the City Corporation was a founder and partner of TheCityUK and that it was important that the two organisations continued to support each other. Members were reminded that a report on the future funding arrangements for TheCityUK had been submitted to the Policy and Resources Committee and that this was not the opportunity to discuss that matter.

The Chief Executive of TheCityUK then gave an overview of the work and history of TheCityUK, and made the following key points:

- TheCityUK was a membership body set up at the request of the former Chancellor of the Exchequer, Alastair Darling, and former Mayor of London, Boris Johnson, to represent the ecosystem of the City.
- The City Corporation was founding member of the organisation and paid the office rent; today, the City Corporation contribute 8% of the TheCityUK's income.
- TheCityUK and the City Corporation work closely together as a private/public partnership and jointly set up the International Regulatory Strategy Group (IRSG).
- The City Corporation was represented on the TheCityUK's main governance groups such as the Advisory Council and the Board, where the Policy Chair serves as the Deputy Chair.
- TheCityUK and City Corporation have worked on Brexit issues together as well as international work such as building the Memorandum of Understanding with the Tokyo Metropolitan Government.
- There was appetite to build on success with the City Corporation as a key partner.

Members then asked the Chief Executive of TheCityUK a range of questions, as follows:

- A Member raised a question regarding TheCityUK's commitment to diversity and inclusion and how the Chief Executive planned to increase the diversity of his leadership team. The Chief Executive accepted the Member's comments and explained that TheCityUK represents a sector which generally struggles to attract a diverse group of people in its leadership teams.

- A Member raised a question regarding the transparency of companies declaring their membership of TheCityUK. The Chief Executive clarified the position that the decision on whether to declare membership of TheCityUK lay with the organisations themselves. Some Members suggested that the Chief Executive should consider publishing those organisations who wished to be declared as Members on their website to help encourage all organisations to be transparent in this matter.
- A Member raised a question regarding TheCityUK's engagement with the Labour Party, as well as TheCityUK's position on mutual recognition and the Prime Minister's Brexit deal. The Chief Executive updated Members on TheCityUK's engagement with the Labour party at various events, and that regarding mutual recognition, they had adopted the position taken by the IRSG in regards to Brexit scenarios.
- A Member commented that, in his experience, there was sometimes some confusion in Brussels as to the difference between TheCityUK and the City Corporation. There was discussion that the name "TheCityUK" contributed to this misunderstanding. The Chief Executive emphasised the importance of the business voice being heard in Brussels and he reported the great support he had heard concerning the increased presence that the City Corporation were building in Brussels.
- A Member asked whether there was anything that the Chief Executive would change about the relationship with the City Corporation. The Chief Executive felt that the relationship was currently extremely constructive and that he would only want to build on this and work more closely together.

The Policy Chair thanked the Chief Executive for his presentation and he left the meeting at this point.

6. **EDO MONTHLY UPDATE - NOVEMBER**

The Sub-Committee received a report of the Director of Economic Development providing highlights of the key activity undertaken by the Economic Development Office (EDO) in November.

RESOLVED, that:

- The report be noted.

7. **CORPORATE AFFAIRS UPDATE**

The Sub-Committee received a report of the Director of Communications updating Members on key elements of the Corporate Affairs team's activity in support of the City Corporation's external political engagement and corporate communications.

The Director of Communications proposed that, due to the present uncertainty of the UK Government's political volatility in terms of the Conservative leadership contest, there would be no advantage in the City Corporation being involved in party political issues and clear that the City Corporation should not be commenting on the political situation.

RESOLVED, that:

- The report be noted.

8. **PARLIAMENTARY TEAM UPDATE**

The Sub-Committee received a report of the Remembrancer updating Members on the main elements of the Parliamentary Team's activity in support of the City Corporation's political and parliamentary engagement.

The Remembrancer circulated a document, which set out the latest position regarding the Conservative leadership challenge, which was taking place at the time of the meeting.

The Policy Chair thanked officers of the Communications Team and the Remembrancers Team for their recent work, especially when the political situation had been so volatile.

RESOLVED, that:

- The report be noted.

9. **ENHANCING SPORT ENGAGEMENT**

The Sub-Committee considered a report of the Director of Communications concerning a clear and proportionate strategy on sports engagement.

The Director of Communications reassured Members that the report met the points raised from the minutes of the October meeting of this Sub-Committee.

Members were glad to see progress in this area but expressed that, on the whole, they had expected more funding for activation than requested in the report. Other Members also commented that sport was a key part of the work of Open Spaces Committee and Culture, Heritage and Libraries Committee. Members were reminded that the terms of reference of the Public Relations and Economic Development Sub-Committee had recently been amended to include specific reference to "oversight and governance of Sport Engagement (with power to act)" and so this Sub-Committee should retain oversight of this work.

However, Members asked the Town Clerk to further consider where the right "home" for this would be at officer level, with some Members concerned at the level of seniority of the proposed post.

Members, also, requested that reference be made in future reports on the amount of current spending on sport. A couple of Members expressed concern that the paper did not present a strategy nor encompassed all the work that was being undertaken across the organisation.

One Member commented on a concern that the Resource Allocation Sub Committee would shortly be considering medium term financial priorities, and any additional funding on sport engagement should be considered as part of this. A longer-term view would need to be considered, in order to avoid drawing down on contingencies.

The Remembrancer confirmed that he had looked at a forward plan of potential hospitality opportunities concerning sport and would be reporting this to the Hospitality Working Party in due course.

A Member observed that the Freedom of the City of London would be an appropriate mechanism and opportunity for the City Corporation to display soft power and show its support for sport.

Members asked the Town Clerk to consider how to ensure that the sports engagement work remain under constant review, either by introducing standing items on the agenda or through the outstanding actions report.

RESOLVED, that:

- The new process for enhancing sport engagement be approved, subject to the Town Clerk's consideration on where the role should sit and if extra funding might be needed.

10. SPONSORSHIP AND BRANDING OF PARTY-POLITICAL EVENTS IN GUILDHALL

The Sub-Committee considered a report of the Director of Communications concerning sponsorship and branding of party-political events taking place at Guildhall.

The Director of Communications advised that in the event of a snap general election the City Corporation would not be providing any party-political support. A Member contested that the purdah laws during a general election had, in the past, being mis-interpreted and that the City Corporation is required to avoid partiality.

RESOLVED, that:

- The City Corporation continue to sponsor events at Guildhall which may have party-political links; and
- The City Corporation's branding is associated with those events.

11. STANDALONE WEBSITE - PROMOTING LONDON AND THE UK FOR FINANCIAL AND PROFESSIONAL SERVICES

The Sub-Committee received a joint report by the Director of Economic Development and the Director of Communications relating to an update on progress on the development of the standalone digital platform to promote London and the UK as a location to locate, invest and do business for financial and professional services firms.

A Member asked for an update on the corporate website, and specifically that thought would be given to ensure that information on the City Corporation's open spaces was made easier to find.

Other Members questioned the need for this particular website and raised concerns such as how the website would advise businesses on the status of the relationship between the UK and the EU when this situation was still so uncertain.

The Director of Economic Development explained that the intention of the paper was to provide Members with an update on the website, which had already received appropriate approvals. The branding on the website would be clearly in line with the City Corporation's main website. He also commented that this was an opportunity to ensure that the strong narrative of the financial and professional services sector in London and the UK was given the prominence it deserved, as there was currently a gap where London's main competitors were strongly active.

Responding to a question, the Director of Communications updated Members on the procurement process for the new City Corporation's corporate website, and agreed, upon request to submit an update report to this Sub-Committee in due course.

RESOLVED, that:

- The report be noted;
- An update on the new City Corporation's corporate website be submitted to the Public Relations and Economic Development Sub-Committee in due course.

12. COMMERCIAL FILMING IN THE CITY

The Sub-Committee considered a report of the Director of Communications concerning guidelines on when the City Corporation supported filming requests in the City.

A Member remarked that the £627,055 income received last year for filming could be recharged to the budget for sports engagement. However, it was later clarified that, currently, the income from filming is reinvested into the affected departments, e.g. traffic management.

The Sub-Committee discussed how it was important that this protocol included all departments across the organisation. The Director of Communications responded that a consultant would be addressing these wider issues but that it was important to have a protocol in place at this stage.

A Member commented that the Canary Wharf Group reinvested the money raised through filming into an organisation that supported young filmmakers in Tower Hamlets.

RESOLVED, that:

- It be recommended to the Policy & Resources Committee to approve strategic guidelines for approving and rejecting applications to support and facilitate filming on public land in the City.

13. CORPORATION ENGAGEMENT ON VISAS AND IMMIGRATION

The Sub-Committee received a report of the Director of Economic Development concerning the City Corporation's engagement on visa and immigration issues.

RESOLVED, that:

- The report be noted.

14. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE

Questions were raised as follows -

Data Flows

A Member raised a concern with a table, produced in-house, that had been circulated electronically to the Members of the Public Relations and Economic Development Sub-Committee outlining descriptions of various Brexit end states. He felt that the description of in-flow of personal data was wrong as adequacy had no connection with the Withdrawal Agreement.

Security ID Cards

A Member questioned the recent decision to remove the City of London crest from security passes and the inconvenience this had caused to Members when needing to prove that they were from the City Corporation at events or external meetings. Members were advised by the Town Clerk that they needed to retain two security passes, and the Sub-Committee felt that it was more important to follow security advice.

15. ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT

There were no urgent items.

16. EXCLUSION OF THE PUBLIC

RESOLVED – That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

Item

17

Paragraph

3

17. NON-PUBLIC MINUTES

The non-public minutes and summary of the meeting held on Wednesday 14 November 2018 were approved.

18. NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE

Questions were raised in respect of the following –

Name Change of the Sub-Committee

A Member asked whether the Public Relations and Economic Development Sub Committee's name may change in light of proposed name changes of departments that report to the Sub-Committee.

19. ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE SUB COMMITTEE AGREES SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED

There were no items of urgent business.

The meeting closed at 1.20 pm

Chairman

Contact Officer: Emma Cunnington
emma.cunnington@cityoflondon.gov.uk

COURTS SUB (POLICY AND RESOURCES) COMMITTEE

Monday, 10 December 2018

Minutes of the meeting of the Courts Sub (Policy and Resources) Committee held at the Guildhall EC2 at 10.00 am

Present

Members:

Deputy Catherine McGuinness (Chairman)	Alderman & Sheriff Vincent Keaveny
Alderman Sir David Wootton (Deputy Chairman)	Deputy Edward Lord
Alderman Alison Gowman	James de Sausmarez
Alderman Timothy Hailes	John Scott (Chief Commoner)
Michael Hudson	

Officers:

Vic Annells	- Executive Director, Mansion House & CCC
Adam Rout	- Head of Operations, Mansion House & CCC
Alison Bunn	- City Surveyor's Department
Richard Chamberlain	- City Surveyor's Department
Gemma Stokley	- Town Clerk's Department

1. APOLOGIES

Apologies for absence were received from Nicholas Bensted-Smith and Nicholas Hilliard QC.

2. MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA

Deputy Edward Lord declared a standing non-pecuniary interest, stating that he served as a JP sitting in the City's Courts and was Deputy Chairman of the Central London Bench. Alderman Sir David Wootton, Alderman Gowman and Alderman Hailes also made standing declarations stating that they were JPs sitting in the City's Courts.

3. MINUTES

The Sub-Committee considered and approved the minutes of the last meeting, held on 15 October 2018.

MATTERS ARISING

Gowns Replacement – The Town Clerk reported that she had contacted the Remembrancer regarding progress on the repair of the gowns at both the Central Criminal Court and the Magistrates' Court as these repairs had not been undertaken in the Summer as previously reported.

The Sub-Committee requested that an update on progress be sent to all via email as soon as possible.

A Member clarified that the costs associated with this were in the region of £800 and £1,000 *per gown*.

A Member warned that the Magistrates would soon refuse to wear the gowns if the state of them continued to deteriorate which would result in the loss of centuries of City tradition here.

In response to a question, it was clarified that there were approximately 40 gowns in total that required repair.

4. **OUTSTANDING REFERENCES**

The Sub-Committee received a report of the Town Clerk that summarised the outstanding actions from previous meetings.

OR1 – Tour of the Mayor’s and City of London Court

The Chairman reported that the tour had taken place earlier this morning and was a useful visit for the Sub-Committee. This reference could now therefore be removed from the list.

5. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

There were no questions.

6. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

There were no additional, urgent items of business for consideration.

7. **EXCLUSION OF THE PUBLIC**

RESOLVED – That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

Item No.

8-11

Paragraph No(s).

3

8. **NON-PUBLIC MINUTES**

The non-public minutes of the last meeting held on 15 October 2018 were considered and approved as a correct record.

9. **NON-PUBLIC OUTSTANDING REFERENCES**

The Sub-Committee received a report of the Town Clerk that summarised the non-public outstanding actions from previous meetings.

10. **COURT ESTATE PRE-DISPOSAL**

The Sub-Committee received a report of the City Surveyor updating them on progress around the Court Estate Pre-Disposal since their last meeting.

11. **COURTS PROJECT PROGRAMME**

The Sub-Committee received a report of the City Surveyor updating Members on the Courts Project Programme.

12. **FIRE SAFETY UPDATE**

The Head of Operations, Mansion House and Central Criminal Court was heard.

13. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

There were no questions in the non-public session.

14. **ANY OTHER NON-PUBLIC BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE SUB COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

There were no additional, urgent items of business for consideration in the non-public session.

The meeting closed at 10.50 am

Chairman

Contact Officer: George Fraser
tel. no.: 020 7332 1174
george.fraser@cityoflondon.gov.uk

This page is intentionally left blank

Committee:	Date:
Policy and Resources	17 January 2019
Subject: London Councils Grants Scheme	Public
Report of: Town Clerk	For Decision
Report Author: Greg Moore	

Summary

1. The total expenditure to be incurred under the London Councils Grants Scheme ("the Scheme") and the City of London Corporation's contribution to it are considered on an annual basis. This Committee is responsible for both approvals.
2. The City Corporation is also responsible for issuing the subscription levies to all the London Local Authorities for their contributions to the Scheme's budget. This element of the Scheme's operation can only be approved by the Court of Common Council; however, that decision cannot be taken before 1 February 2019, the date by which the total expenditure for the Scheme must be agreed by constituent councils. The Court is therefore required to make its decision as levying body early in February as, in accordance with regulations, levies must be issued before 15 February 2019. The Court of Common Council is not due to meet within this period and, as has been past practice, its decision will be sought under urgency procedures.
3. For 2019/20 London Councils is recommending that the overall level of expenditure under the Scheme is set at £6.909m. The proposed expenditure is made up of £6.668m (the same level as that for the previous year) in contributions from London local authorities (the amount to be levied) and £241,000 of residual European Social Fund programme grant (of which £58,000 is ESF grant income and £183,000 is to be transferred from accumulated ESF reserves).
4. Subscriptions to the Scheme are calculated on the basis of residential population and for 2019/20 the City Corporation's contribution will be £5,780; some £1,352 less than last year.

Recommendations

5. It is recommended that:-
 - approval is given to the total amount of expenditure to be incurred in 2019/20 under the Scheme (£6.909m) and to the City Corporation's subscription for 2019/20 (£5,780) as set out in Appendices A and B of this report; and
 - subject to the Court of Common Council's approval (as levying body for the Scheme), the levy of £6.668m (as set out in Appendix B) be agreed. It should be noted that the Court's approval will be sought using the urgency procedures

and is subject to at least two-thirds of the constituent councils agreeing the total expenditure to be incurred before 1 February 2019.

Main Report

Background

City Corporation Subscription and Overall Budget

1. The Scheme operates under section 48 of the Local Government Act 1985 (“the LGA 1985”) which requires that at least two-thirds of the constituent councils (i.e. 22 out of 33 of the London local authorities) must approve the total expenditure to be incurred under the Scheme each year.
2. This approval must be given before **1 February** in the year in which that financial year begins. Where this approval is not given by that date, under the Grants to Voluntary Organisations (Specified Date) Order 1992 made under the LGA 1985, the total expenditure will be deemed to be the same as that approved in the previous year.
3. The Leaders Committee of London Councils is recommending a total expenditure of £6.909m under the Scheme for 2019/20 comprising £6.275m in grants expenditure, £574,000 in administrative expenditure and £60,000 for London Funders Membership Fees. Of the total expenditure, £6.668m will be funded from local authority subscriptions (the same level of borough contributions as the previous year) and £241,000 of residual European Social Fund programme grant (of which £58,000 is ESF grant income and £183,000 is to be transferred from accumulated ESF reserves).
4. Local Authority subscriptions to the Scheme are calculated on the basis of total resident population as a proportion of the resident population of the whole of Greater London.
5. Appendix A to this report sets out details of London Councils’ Grants Committee’s Income and Expenditure Budget for 2019/20, and Appendix B sets out the subscriptions that each constituent council will be required to make. The City Corporation’s subscription for 2019/20 is £5,780 (a decrease of £1,352 from the preceding year).

Equalities Impacts arising from the City Corporation’s Subscription

6. Under the Equality Act 2010, the City Corporation must have due regard to:
 - (a) the need to eliminate discrimination (and other prohibited conduct) on the grounds of race, age, disability, gender, sexual orientation, religion or belief, pregnancy, maternity and gender reassignment (“the protected characteristics”);
 - (b) the need to advance equality of opportunity between persons who share a relevant protect characteristic and persons who do not share it (including to

remove or minimise disadvantages suffered by persons who share a relevant protected characteristic that are connected to that characteristic, to take steps to meet the needs of persons who share a relevant protected characteristic that are different from the needs of persons who do not share it, and to encourage persons who share a relevant protected characteristic to participate in public life or in any other activity in which participation by such persons is disproportionately low); and

(c) the need to foster good relations between persons who share a relevant protected characteristic and those who do not.

7. Having 'due regard' means that a public body must consider the impact of its decisions on protected groups and 'due regard' is regard which is appropriate in all the circumstances.
8. The Assistant Director of Community and Children's Services has considered whether there may be any adverse effects arising from the decision of the City Corporation to contribute to the Scheme as proposed and to agree the overall expenditure to be incurred under the Scheme in 2019/20. The view remains that the City Corporation's funding contribution to the Scheme is proportionally so small that it is unlikely to have any effect on a recipient organisation's ability to continue trading and will not impact upon the City Corporation's funding and support of local services. City Officers continue to work with partner boroughs and London Councils to monitor the impacts of the overall Scheme and to scrutinise its value for money.

Levy on the London Local Authorities

10. The City of London Corporation has been the designated council responsible for issuing the levies to all the constituent councils for their contributions to the Scheme since 1 February 2004. The City Corporation is defined, for these purposes, as a levying body under sections 74 and 117 of the Local Government Finance Act 1988 and the Levying Bodies (General) Regulations 1992.
11. These Regulations require the City Corporation, as levying body, to issue the levies **before the 15 February of each year**. As such, the Court of Common Council is required to approve the annual levy on all the constituent councils (i.e. both the total contributions from them and the apportionment of that total between them) so that contributions can be collected from these local authorities towards the total expenditure on the Scheme.
12. The decision of the Court of Common Council as levying body cannot be taken until such time as the total expenditure under the Scheme is agreed i.e. the decision cannot be taken before 1 February. The Court is therefore required to take its decision as levying body between 1 and 15 February to enable the levies to be issued before 15 February (for the reasons noted at paragraph 10 above). As the Court of Common Council is not due to meet within that period, the decision to issue the levy will therefore be sought under urgency procedures.

Appendices:

- Appendix A – provides details of London Councils' Grants Committee's Income and Expenditure Budget for 2019/20
- Appendix B - sets out the subscriptions that each constituent council will be required to make.

Background Papers:

London Councils Leaders' Committee Report dated 21 November 2018.

Greg Moore

Principal Committee & Member Services Manager

Town Clerk's Department

T: 020 7332 1399

E: gregory.moore@cityoflondon.gov.uk

Grants Committee Income and Expenditure Budget 2019/20

Expenditure	Revised Budget 2018/19 £000	Developments £000	Inflation £000	Original Budget 2019/20 £000
Payments in respect of Grants				
London Councils Grants Programme	6,173	0	0	6,173
Membership Fees to London Funders (for all boroughs)	60	0	0	60
European Social Fund Co-Financing	1,880	-1,778	0	102
Sub-Total	8,113	-1,778	0	6,335
Operating (Non-Grants) Expenditure				
Contractual Commitments				
Maintenance of GIFTS Grants IT system	10	0	0	10
	10	0	0	10
Salary Commitments				
Officers	353	-61	7	299
Members	19	0	0	19
Maternity provision	10	0	0	10
	382	-61	7	328
Discretionary Expenditure				
Staff training/recruitment advertising	6	1	0	7
Staff travel	2	0	0	2
	8	1	0	9
Total Operating Expenditure	400	-60	7	347
Central Recharges	155	72	0	227
Total Expenditure	8,668	-1,766	7	6,909
Income				
Core borough subscriptions				
Contribution to grant payments	6,173	0	0	6,173
Contribution to non-grants expenditure	495	0	0	495
	6,668	0	0	6,668
Other Income				
ESF Grant Income	1,000	-942	0	58
	1,000	-942	0	58
Transfer from Reserves	1,000	-817	0	183
Central Recharges	0	0	0	0
Total Income	8,668	-1,759	0	6,909
Net Expenditure	0	7	-7	0

Borough Subscriptions 2019/20

Appendix B

ONS Mid-2016 Estimate of Population ('000)	%	2018/19 Base Borough Contribution (£)		ONS Mid-2017 Estimate of Population ('000)	%	2019/20 Base Borough Contribution (£)	Base Difference from 2018/19 (£)
246.18	2.79%	186,799	Inner London	253.36	2.87%	191,438	4,639
9.40	0.11%	7,133	Camden	7.65	0.09%	5,780	-1,352
279.77	3.17%	212,286	City of London	282.85	3.21%	213,721	1,434
273.53	3.10%	207,551	Greenwich	275.93	3.13%	208,492	940
179.65	2.04%	136,316	Hackney	183.00	2.07%	138,274	1,958
232.87	2.64%	176,699	Hammersmith and Fulham	235.00	2.66%	177,565	866
156.73	1.78%	118,925	Islington	155.74	1.76%	117,677	-1,248
327.91	3.72%	248,814	Kensington and Chelsea	324.05	3.67%	244,851	-3,963
301.87	3.42%	229,056	Lambeth	301.31	3.41%	227,669	-1,387
313.22	3.55%	237,668	Lewisham	314.23	3.56%	237,431	-237
304.85	3.45%	231,317	Southwark	307.96	3.49%	232,694	1,377
316.10	3.58%	239,853	Tower Hamlets	323.26	3.66%	244,254	4,401
247.61	2.81%	187,884	Wandsworth	244.80	2.77%	184,970	-2,914
			Westminster				
3,189.69	36.14%	2,420,301		3,209.14	36.36%	2,424,817	4,516
206.46	2.34%	156,660	Outer London	210.71	2.39%	159,212	2,552
386.08	4.37%	292,953	Barking and Dagenham	387.80	4.39%	293,021	67
244.76	2.77%	185,721	Barnet	246.12	2.79%	185,968	246
328.25	3.72%	249,072	Bexley	329.10	3.73%	248,667	-405
326.88	3.70%	248,033	Brent	329.39	3.73%	248,886	853
382.30	4.33%	290,085	Bromley	384.84	4.36%	290,784	699
343.20	3.89%	260,416	Croydon	342.74	3.88%	258,973	-1,443
331.40	3.76%	251,463	Ealing	332.71	3.77%	251,395	-68
278.45	3.16%	211,285	Enfield	271.22	3.07%	204,933	-6,352
248.75	2.82%	188,749	Haringey	248.88	2.82%	188,053	-696
252.78	2.86%	191,807	Harrow	256.04	2.90%	193,463	1,656
302.47	3.43%	229,511	Havering	302.34	3.43%	228,447	-1,064
271.14	3.07%	205,738	Hillingdon	269.10	3.05%	203,331	-2,407
176.11	2.00%	133,630	Hounslow	174.61	1.98%	131,935	-1,695
205.03	2.32%	155,574	Kingston upon Thames	206.05	2.33%	155,691	116
340.98	3.86%	258,732	Merton	348.00	3.94%	262,948	4,216
299.25	3.39%	227,068	Newham	301.79	3.42%	228,032	964
195.85	2.22%	148,609	Redbridge	195.68	2.22%	147,855	-754
202.22	2.29%	153,442	Richmond upon Thames	203.24	2.30%	153,568	125
275.84	3.13%	209,304	Sutton	275.51	3.12%	208,175	-1,130
			Waltham Forest				
5,598.20	63.44%	4,247,851		5,615.87	63.64%	4,243,335	-4,516
8,787.89	99.58%	6,668,152	Totals	8,825.01	100.00%	6,668,152	0

6,668,152

6,668,152

Committee: Policy and Resources – For decision	Date: 17 January 2019
Subject: Policy Initiatives Fund/Committee Contingency: multi-year analysis	Public
Report of: Chamberlain	For Decision
Report author: Laura Tuckey	

Summary

This report provides the schedule of projects and activities which have received funding from the Policy Initiatives Fund (PIF) and the Policy and Resources Committee's Contingency Fund for 2018/19 and future years with details of expenditure in 2018/19. The current available balances for the Policy Initiatives Fund (PIF) and the Committee contingency for 2018/19 are £152,341 and £78,450 respectively.

This report also responds to Members' concerns in relation to bids PIF funding which fall across multiple years, as these can restrict the Committee's flexibility to respond to emerging needs (given that significant proportions of the total annual PIF pot might already be committed at the beginning of a financial year). The report therefore seeks to limit approaches for multi-year allocations and proposes a set of criteria for bids to aid Members in making funding decisions, as well as establishing measures by which the benefits or successes of each allocation can be assessed.

Members are asked to:

- agree the proposed changes to the criteria and administration of the PIF; and
- review the analysis of the existing multiyear PIF bids in paragraph 6 and re-prioritise them as needed or when current funding agreements come to an end.

The proposed changes to the PIF criteria:

1. limit multiyear requests to a maximum of two years, to reflect that the PIF is intended as a one-off funding source;
2. set aside a portion of the PIF specifically for multi-year bids to focus prioritisation;
3. establish clear success/benefits criteria which can be measured at a six monthly review to show how far progressed the work is or how effective the work/activities were which can be used for future consideration for new PIF bids for similar work/activities; and
4. if little to no money has been spent on works/activities 18 months after a successful bid, funding is returned to the fund so that the PIF is available for other PIF applications (unless there are legitimate reasons for the delay in spend).

Recommendations

Members are asked to:

- Note the report and contents of the schedules.
- Review the recommendations in paragraph 6 and reprioritise existing multi-year bids as needed or when current funding agreements come to an end.
- Approve the suggested changes to the criteria and running of the PIF as per below:
 - PIF bids are to be time limited to a maximum of two years funding (excepting grants for accommodation);
 - Allocate £600k from the PIF to be used exclusively for multiyear bids from 2019/20
 - PIF bid reports are to set out a measurable success/benefits criterion which will be reviewed at two 6 months intervals during the year on how far progressed works/activities are and how successful the work/activities were; and
 - If successful bids have not spent any of the allocated funding within 18 months of being approved the funding is to return to fund unless there is a legitimate reason for delays.

Main Report

Background

1. The purpose of the Policy Initiatives Fund (PIF) is to allow the Committee to respond swiftly and effectively with funding for projects and initiatives identified during the year which support the City Corporation's overall aims and objectives.
2. The Committee contingency is used to fund unforeseen items of expenditure when no specific provision exists within the Policy Committee budget such as hosting one-off events.
3. The current process for identifying which items should sit within the PIF are if they fall under the below criteria:
 - Items that relate to a specific initiative i.e. research;
 - Sponsorship/funding for bodies which have initiatives that support the City's overall objectives; and
 - Membership of high profile national think tanks.

Current Position

4. Appendices 1 and 3 list the projects and activities which have received funding for 2018/19 from the PIF (Appendix 1) and your Committee's Contingency Fund (Appendix 3) with the expenditure incurred to date. Appendices 2 and 4 show all committed projects and activities approved by this committee from the PIF (Appendix 3) and the Contingency Fund (Appendix 4) for this financial year and future financial years with the remaining balances shown. It should be noted that the items referred to in all appendices have been the subject of previous reports approved by this Committee. (The newly established Brexit Contingency Fund of £2m as agreed by your committee in November 2018 has not yet received any bids).

5. The balances that are currently available in the Policy Initiatives Fund and the Committee contingency for 2018/19 are £152,341 and £78,450 respectively.
6. Our review of the analysis in appendices 1 to 4 has identified the following shortcomings:
 - Currently PIF bids can be submitted for multiple years which results in PIF allocations for future years becoming depleted before the financial year has started. This may result in the future PIF budget not being able to fund emerging opportunities and worthy works/activities which may result in the City not being able to respond to events in a timely manner.
 - It is difficult to assess how effective funding is in achieving policy aims as there is currently no review carried out on how successful work/activities are at a set time/on completion.
 - There are items that bid for PIF funding on a yearly basis, so it would be beneficial to review these regular PIF bids to assess if it is going to be a worthwhile exercise going forward and whether these items should be paid for from service committee/ departmental local risk budgets.
 - It also appears that some funding requests have been made with no clear timeframe for delivery of an activity- there are currently allocations in the PIF with little to no spend allocated against them; or where works/activities appear to be complete, but the surplus funding has not been returned to the PIF. At year end, departments are asked if funding is still required for their successful bids and it is rolled over if they have agreed that it is still needed. It is recommended that allocations that are not being used should be returned to the fund.

Proposals

7. To restrict the depletion of funds in future years, a two-year time limit is proposed (except for accommodation grants). It is also proposed that the Committee revisit the currently approved multiyear bids and reprioritise if the work being done is adding value and if they should be continued or not. The allocations are analysed in appendix 5 and recommendations are shown below:
 - Items that are ending in 2018/19, it is recommended that these remain as they are, but review in future years, especially on whether the activities can be accommodated by reprioritising current local risk budgets.
 - Grants for accommodation (£157k per annum) are typically for 5 years; review whether to continue support when the grant comes to an end; and review whether funding levels remain appropriate. Although typically ongoing funding requirements would be included within local risk budgets, it is not recommended that funding for these three grants are hypothecated to local risk as priorities on recipients may change.
 - £84,500 per annum is typically spent on think tank memberships. This amount at less than 10% of the PIF seems reasonable, although it is recommended that the analysis to ensure that support is proportionate across the left and right leaning think tanks is carried out annually.

- Typically over £200k per annum is spent on annual events and conferences- there is a mix of support for events historically bid for year on year; and 2-3 year funding commitments. It is recommended that the spend on the annual party conferences be maintained, but review all other commitments for effectiveness before renewing funding.
 - Support for new initiatives range from London or square mile focused to new international or European organisations. Typically these are two or three year grants with a natural cut off. Any requests for continuing funding should be reviewed in light of effectiveness and strategic relevance.
 - Largest value item is the £250k per annum lead sponsorship of Innovate Finance. It is recommended that funding levels are reviewed.
8. To ensure prioritisation within the multiyear bids, it is suggested that the PIF for the financial year 2019/20 and onwards has £600k of its total budget put aside for multiyear bids with the rest set aside (£650k) for one off allocations, with the option to 'top up' the multiyear allocation from the balance if members agree to do so. This will ensure that there should always be enough in the PIF to fund emerging one-off opportunities as they come up.
 9. It is suggested that all future PIF bids include a measurable success/benefits criterion in the report so that the successful bids can then be reviewed to see what the outcomes are and if the works/activities met the objectives of the PIF. In line with the guidance on the newly established Brexit contingency fund, a review of all successful bids will be undertaken at six month intervals as an additional appendix to the current PIF/Contingency report. Such a review will aide members to see the effectiveness/benefits of PIF bid supported works/activities which can be taken into consideration when approving similar works/activities in the future.
 10. When a PIF bid has been approved there should be a reasonable amount of progress/spend on the works/activities within 18 months of approval which allows for slippage and delays. If there has not been enough spend/activity on a PIF bid, it is suggested that the allocation is returned where it can utilised for other works/activities. If the department requires funding for the same works/activities again at a later date, it is suggested that they re-bid for the funding. A summary of these items would be reported on in the regular PIF/Contingency report.
 11. Members are asked to approve that for items that had had little to no progress on activities/spend on bids approved after 18 months to have the allocation return to fund with departments having to rebid for PIF funding if it is required in the future. If there is a legitimate reason, out of the departments influence, that have caused delays to progress to spend it is recommended that a these are reviewed as needed.

Corporate & Strategic Implications

12. Although each PIF application has to be judged on its merits it can be assumed that they may be helping towards contributing to a flourishing society, supporting a thriving economy and shaping outstanding environments as per the corporate plan.
13. Each PIF application should be approved on a case by case basis and departments should look to local budgets first before seeking PIF approval, with PIF requests only being submitted if there is no funding within local budgets available.

Appendices

- Appendix 1 – PIF 2018/19 expenditure
- Appendix 2 – PIF 2018/19 & Future FY Committed
- Appendix 3 – Contingency 2018/19 expenditure
- Appendix 4 – Contingency 2018/19 & Future FY Committed
- Appendix 5 – Multiyear PIF items to review

Laura Tuckey

Senior Accountant, Chamberlains

T: 020 7332 1761

E: laura.tuckey@cityoflondon.gov.uk

This page is intentionally left blank

POLICY AND RESOURCES COMMITTEE - POLICY INITIATIVES FUND 2018/19

<u>ALLOCATIONS FROM PIE</u>					<u>STATUS OF BALANCE</u>	
<u>COMMITTEE</u> <u>DATE</u>	<u>DESCRIPTION</u>	<u>RESP</u> <u>OFFICER</u>	<u>ALLOCATION</u> £	<u>ACTUAL</u> <u>PAID</u> TO 02/01/2019 £	<u>BALANCE</u> <u>TO BE SPENT</u> £	<u>NOTES</u>
	Events					
07/07/2016	London Councils' London Summit - the City is to host the annual conference for 3 years.	EDO	15,500	14,970	530	3 year funding: £16,000 final payment in 2019/20
16/03/2017	International Business and Diplomatic Exchange (IBDE) - COL to fund a two year partnership with IBDE (£50,000) plus £22,000 for hosting a total of 8 events taking place over 2 years at the Guildhall. The IBDE is an independent, not for profit, non-political membership organisation bringing together the business and diplomatic community in London to promote international trade and investment flows.	DED	12,755	619.00	12,136	£72,000 originally allocated to 2017/18; £12,255 deferred to 2018/19
14/12/2017	Sponsorship of the CPS Margatet Thatcher Conference on China - The City of London Corporation to sponsor this Conference to discuss the relationship between China and the UK. This is scheduled to be held at the Guildhall in June/July 2018.	DOC	21,000	18,357	2,643	
01/01/2018	Sponsorship of the Annual Review of Women in Finance Charter - the City Corporation to sponsor this annual review.	DOC	35,000	35,000	0	
22/02/2018	Sponsorship of the Wincott Foundation's 'Wincott Awards' - the City Corporation to sponsor this annual Awards programme. The Wincott Foundation is a registered charity that supports and encourages high quality economic, financial and business journalism in the UK and internationally to contribute to a better understanding of economic issues.	DOC	4,000	4,000	0	3 year funding: £4,000 in 2019/20 & 2020/21
12/04/2018	Chatham House Event: Financial Services 10 Years on: City of London to support this event with Chatham House to examine the 10-year anniversary of the financial crisis and implications for the future. The event will take place at the Guildhall followed by a small private dinner.	DOC	17,000	5,441	11,559	
03/05/2018	Think Tank Review and Memberships 2018-19: Renewal of COL's membership to Centre for the Study of Financial Innovation (£5,000); Chatham House (£14,500); Institute for Public Policy Research (IPPR - £15,000); Local Government Information Unit (LGIU - £12,000); New Local Government Network (NLGN - £12,000); Whitehall & Industry Group (WIG - £6,000); Institute for Fiscal Studies (IFS - £10,000) & Open Europe (£10,000).	DOC	84,500	51,500	33,000	

<u>ALLOCATIONS FROM PIF</u>					<u>STATUS OF BALANCE</u>	
<u>COMMITTEE</u>		<u>RESP</u>		<u>ACTUAL</u>	<u>BALANCE</u>	
<u>DATE</u>	<u>DESCRIPTION</u>	<u>OFFICER</u>	<u>ALLOCATION</u>	<u>PAID</u>	<u>TO BE SPENT</u>	<u>NOTES</u>
			£	TO 02/01/2019 £	£	
03/05/2018	Sponsorship of Centre for European Reform's 2018 Ditchley Conference: COL partnering with the Centre for European Reform (CER) in hosting this high-level conference taking place on 16-17 November 2018.	DOC	20,000	20,000	0	
03/05/2018	Sponsorship of Battle of Ideas Festival 2018 - the City Corporation to sponsor the festival, organised by The Institute of Ideas, taking place on 13-14 October 2018 at the Barbican Centre.	DOC	25,000	25,000	0	
05/07/2018	Events Partnership with the Strand Group, Kings College London - City of London to fund 3 events in partnership with the Strand Group	DOC	25,000	0	25,000	2 year funding: final payment in 2019/20
06/09/2018	European Bank for Reconstruction and Development (EBRD) Dinner - City of London Corporation to host a dinner & reception on 1st October 2018 for the Board of the European Bank of Reconstruction and Development	DED	12,000	6,495	5,505	
06/09/2018	Event and Publication Sponsorship: Centre for London Conference and Fabian Society - City of London to sponsor the Centre for London's 2018 London Conference (£25,000) and the Fabian Society's London: Policy and Challenges into the 2020s Publication (£18,500)	DOC	43,500	25,000	18,500	
	Promoting the City					
06/10/2016	IPPR - Economic Justice Commission - City Corporation to become one of the sponsors of the IPPR Commission on Economic Justice. The IPPR is a registered charity and independent think-tank.	DED	9,200	0.00	9,200.00	2 year funding: final payment of £100,000 in 2017/18; £9,200 deferred to 2018/19
19/01/2017	TheCityUK: CoL's additional funding toward CityUK's rental cost.	DED	100,000	75,000	25,000	3 year funding: final payment in 2018/19
19/01/2017	Chemistry Club, City: City of London to sponsor a series of high calibre networking events to enhance the Corporation's credibility in the Cyber tech and related technologies in the financial services sector.	DED	3,222	0.00	3,222.00	Originally allocated to 2017/18; £3,222 deferred to 2018/19
16/03/2017	City of London Advertising - continuation of placing advertisements in CityAM to promote services provided by COL and advertising in a new newspaper, City Matters, covering the Square Mile.	DOC	54,900	43,650	11,250	2 year funding: final payment of £54,900 in 2018/19

<u>ALLOCATIONS FROM PIF</u>					<u>STATUS OF BALANCE</u>	
<u>COMMITTEE</u> <u>DATE</u>	<u>DESCRIPTION</u>	<u>RESP</u> <u>OFFICER</u>	<u>ALLOCATION</u> £	<u>ACTUAL</u> <u>PAID</u> TO 02/01/2019 £	<u>BALANCE</u> <u>TO BE SPENT</u> £	<u>NOTES</u>
04/05/2017	City Matters: placing additional full page advertisements in City Matters to promote City of London Corporation's cultural events and activities.	DOC	15,600	15,600	0	2 year funding: final payment in 2018/19
04/05/2017	Secretariat of the Standing International Forum of Commercial Courts: City Corporation to provide financial support for a third of the costs of the secretariat for the first 3 years.	DED	110,000	0	110,000	3 year funding: £50,000 final payment in 2019/20; £60,000 allocated in 2017/18 now deferred to 2018/19
08/06/2017	Commonwealth Enterprise and Investment Council (CWEIC) - Renewal of office space: provision of office space within Guildhall complex.	TC	10,000	10,000	0	2 year funding: final payment in 2018/19
06/07/2017	One City Social Media Platform: City Corporation to provide financial support for a third of the costs for 3 years of this ongoing development of a new social media led platform dedicated to City workers in promoting the attractions and events held within the Square Mile.	DBE / CS / DOC	50,000	50,000	0	3 year funding: £60,000 final payment in 2019/20
16/11/2017	City of London Asia Next Decade - a campaign for the future: City of London Corporation to support the Asia Next Decade campaign that seeks to maintain London's role as a leading global financial centre through engagement with Asia.	DED	7,255	1,758	5,497	£30,000 originally allocated to 2017/18; £7,255 deferred to 2018/19
14/12/2017	Further Sponsorship Chemistry Club, City: City of London to sponsor a series of high calibre networking events to enhance the Corporation's credibility in the Cyber tech and related technologies in the financial services sector.	DED	34,691	29,649	5,042	£40,000 originally allocated in 2018/19 but £5,300 spent in 2017/18
14/12/2017	The Commonwealth Business Forum (CBF) 2018 - The City Corporation to host the Commonwealth Business Forum from: 16th - 18th April 2018. COL is working in partnership with HMG and CWEIC to develop a programme which places the City of London at the heart of the Commonwealth Business Forum.	DED	82,000	73,418	8,582	2 year funding: £70,000 final payment in 2018/19; £12,000 deferred from 2017/18
22/02/2018	Continued Sponsorship to support Innovate Finance.	DED	250,000	187,500	62,500	3 year funding: £250,000 in 2019/20 & 2020/21
15/03/2018	Match Funding from The Honourable Irish Society to the National Citizenship Scheme - City of London Corporation to match fund the Society's grant totalling £33,000 over 3 years.	TC	11,000	11,000	0	3 year funding: £11,000 in 2019/20 & 2020/21

<u>ALLOCATIONS FROM PIF</u>					<u>STATUS OF BALANCE</u>	
<u>COMMITTEE</u> <u>DATE</u>	<u>DESCRIPTION</u>	<u>RESP</u> <u>OFFICER</u>	<u>ALLOCATION</u> £	<u>ACTUAL</u> <u>PAID</u> TO 02/01/2019 £	<u>BALANCE</u> <u>TO BE SPENT</u> £	<u>NOTES</u>
12/04/2018	City of London Corporation Regional Strategy: City of London's membership to Scottish Financial Enterprise (SFE) and expanding the partnership programme to 3 more UK City Regions.	DED	63,200	26,365	36,835	
03/05/2018	Saudi Arabia: Vision 2030 - COL to engage with Saudi Arabia and to support work on the new Private Sector Groups established by the Dept of International Trade to support export and investment programmes.	DED	50,000	18,001	31,999	
07/06/2018	City of London Corporation - Engagement with Strategy World Economic Forum (WEF): City of London Corporation to develop a 3 year rolling engagement strategy with WEF, an independent non-profit organisation dedicated to improving global economic and social conditions on a global scale. The CPR and LM to attend the WEF Annual Meeting in Davos and an event in another priority market and CoL to host a WEF meeting/event in the City.	DED	35,000	0	35,000	3 year funding: £36,500 in 2019/20 & £38,000 in 2020/21
05/07/2018	There But Not There - National Armistice Project - City of London to become a corporate sponsor for the Charity Remembered which commemorates those who lost their lives in the First World War	TC / REM	15,000	11,989	3,011	
06/09/2018	Indo-British All-Party Parliamentary Group (APPG) Sponsorship - City of London Corporation becoming a member as well as supporting a financial services parliamentary breakfast seminar with senior COL representation	REM / DED	6,000	0	6,000	
	Communities					
06/07/2017	STEM and Policy Education Programme - additional funding of the Hampstead Heath Ponds Project.	DOS	40,601	21,355	19,246	£24,700 final payment in 2018/19; £15,901 deferred from 2017/18
16/11/2017	Centre for Study of Financial Innovation (CSFI): Corporation supporting CSFI in its continued occupancy to enable the Think Tank to remain in the City.	DOC	6,635	0	6,635	5 year funding: final payment in 2021/22
07/06/2018	2018 Party Conferences Funding - the City Corporation to hold private roundtables and dinners at the 2018 party conferences of the Liberal Democrats, Labour and Conservatives.	DOC	38,100	36,600	1,500	
07/06/2018	Social Mobility: Sponsorship of the Social Mobility Employer Index - City of London to sponsor the 2018 SMEI and enable City of London to continue being a leading voice on Social Mobility.	DED	60,000	32,390	27,610	

This page is intentionally left blank

POLICY AND RESOURCES COMMITTEE - POLICY INITIATIVES FUND 2018/2019 - 2021/2022

Date	Description	Allocation 2018/19	Allocation 2019/20	Allocation 2020/21	Allocation 2021/22
	BASE BUDGET	£	£	£	£
		1,250,000	1,250,000	1,250,000	1,250,000
	+ additional allocation				
	+ balance brought forward as agreed by Committee: 15/03/2018	161,000			
	+ unspent balances deferred from 2017/18	125,000			
	+ unspent balances in 2017/18 returned to Fund	49,000			
	TOTAL BUDGET	1,585,000	1,250,000	1,250,000	1,250,000
	ALLOCATIONS				
19/09/2013	International Valuation Standards Council	50,000			
07/07/2016	London Councils Summit	15,500	16,000		
16/10/2016	Sponsorship of the IPPR Commission on Economic Justice	9,200			
19/01/2017	TheCityUK	100,000			
19/01/2017	Chemistry Club, City	3,222			
16/03/2017	City of London Advertising	54,900			
16/03/2017	International Business and Diplomatic Exchange (IBDE)	12,755			
04/05/2017	City Matters Newspaper - additional Advertising	15,600			
04/05/2017	Secretariat of Standing International Forum of Commercial Crts	110,000	50,000		
08/06/2017	Office Space Renewal: Commonwealth Enterprise & Invest Council (CWEIC)	10,000			
06/07/2017	STEM and Policy Education Programme	40,601			
06/07/2017	One City Social Media Platform	50,000	60,000		
16/11/2017	Proposed Grant to retain the Centre for the Study of Financial Innovation	6,635	6,635	6,635	6,635
16/11/2017	City of London Asia Next Decade - a campaign for the future	7,255			
14/12/2017	The Commonwealth Business Forum 2018	82,000			
14/12/2017	Sponsorship of Chemistry Club City	34,691			
14/12/2017	Sponsorship of CPS Margaret Thatcher Conference on China 2018	21,000			
18/01/2018	Sponsorship of the Annual Review of the Women in Finance Charter	35,000			
22/02/2018	Sponsorship of the Wincott Foundation's 'Wincott Awards'	4,000	4,000	4,000	
22/02/2018	Continued Sponsorship to support Innovate Finance	250,000	250,000	250,000	
15/03/2018	Match Funding from The Honourable Irish Society to the National Citizenship Scheme	11,000	11,000	11,000	
12/04/2018	Chatham House Event: Financial Services 10 Years on	17,000			
12/04/2018	City of London Corporation Regional Strategy	63,200			
03/05/2018	Saudi Arabia Vision 2030, Public Investment Fund and Financial Services	50,000			
03/05/2018	Sponsorship of Centre for European Reform's Ditchley Conference	20,000			
03/05/2018	Think Tank Review and Memberships 2018-19	84,500			
03/05/2018	Battle for Ideas	25,000			
07/06/2018	2018 Party Conferences	38,100			
07/06/2018	City of London Corporation - Engagement with Strategy World Economic Forum (WEF)	35,000	36,500	38,000	
05/07/2018	Events Partnership with The Strand Group, King's College London	25,000	25,000		
05/07/2018	City Sponsorship of 'There But Not There' - National Armistice Project	15,000			
06/09/2018	Indo British All-Party Parliamentary Group Sponsorship	6,000			
06/09/2018	Sponsorship of the 2018 London Conference	25,000			
06/09/2018	Sponsorship of the Fabian Society's London: Policy and Challenges into the 2020s publication	18,500			
06/09/2018	European Bank for Reconstruction and Development Dinner at Guildhall	12,000			
04/10/2018	London Living Wage Campaign	15,000			
	TOTAL ALLOCATIONS	1,432,659	484,135	309,635	6,635
	BALANCE AVAILABLE	152,341	765,865	940,365	1,243,365

Less: Possible maximum allocations from this meeting: **17 January 2019**

- Sponsorship of the Annual Review of the Women in Finance Charter	35,000	-	-	-
- Sponsorship to support Chemistry Club, City	-	40,000	-	-
- Sponsorship of the CPS Margaret Thatcher Conference on Britain & America	-	20,000	-	-
- Sponsorship of the 2019 London Councils Guide to Development in the City Opportunity	12,000	-	-	-
- Sponsorship of Children's Book with Guy Fox History Project Ltd	-	42,000	-	-
	105,341	663,865	940,365	1,243,365

This page is intentionally left blank

POLICY AND RESOURCES COMMITTEE - CONTINGENCY 2018/19

ALLOCATIONS FROM CONTINGENCY

<u>COMMITTEE</u> <u>DATE</u>	<u>DESCRIPTION</u>	<u>RESP</u> <u>OFFICER</u>	<u>ALLOCATION</u> £	<u>ACTUAL</u> <u>PAID</u> TO 02/01/2019	<u>STATUS OF BALANCE</u>	
				£	<u>BALANCE</u> <u>TO BE SPENT</u> £	<u>NOTES</u>
23/01/2014	Career fairs - City of London Corporation to host up to three events per year to enhance employability of young people in neighbouring communities	DED	62,000	0	62,000	3 year funding: £62,000 deferred from 2016/17
08/05/2014	City of London Scholarship - Anglo-Irish Literature: CoL to award a yearly scholarship to a single student to continue their studies in the field on Anglo-Irish Literature	TC	39,700	10,350	29,350	£14,700 deferred from 2016/17; £25,000 deferred from 2017/18
19/02/2015	Supporting the Commonwealth (CWEIC): to engage with the Commonwealth further by becoming a partner of the Commonwealth Enterprise and Investment Council	TC	37,100	0	37,100	Originally allocated from 2015/16; £37,100 deferred to 2018/19
17/11/2016	Police Arboretum Memorial Fundraising Dinner: City Corporation to host a fundraising dinner at Guildhall	DED	30,000	0	30,000	Originally allocated from 2016/17; deferred to 2018/19
11/11/2016	Co-Exist House: City of London Corporation to fund a learning institution and centre in London dedicated to promoting understanding of religion and to encourage respect and tolerance	TC	40,000	40,000	-	3 year funding - £20,000 final payment in 2018/19; £20,000 originally allocated to 2017/18 deferred to 2018/19
06/06/2018	Renewal Electricity Policy and Sourcing Strategy: City of London Corporation to adopt this strategy and purchase renewable electricity	CH / CS / TC	25,000	0	25,000	
05/07/2018	Resourcing Diversity and Business Engagement - Establishing the Diversity and Business Engagement Manager to support the City's wider equalities, diversity and inclusion work.	HR	74,250	14,755	59,495	
06/09/2018	Bid from Historic Royal Palaces to support 'The Tower Remembers 2018: City of London Corporation to sponsor Historic Royal Palaces for the Tower Remembers - a large-scale visual commemoration at the Tower of London intended to mark the centenary of the end of the First World War	TC	25,000	25,000	-	
06/09/2018	Gresham College Funding Arrangements: Appointment of a Consultant - City of London Corporation to joint fund the cost of appointing a Consultant to conduct a review of Gresham College.	TC	30,000	0	30,000	

<u>ALLOCATIONS FROM CONTINGENCY</u>					<u>STATUS OF BALANCE</u>	
<u>COMMITTEE DATE</u>	<u>DESCRIPTION</u>	<u>RESP OFFICER</u>	<u>ALLOCATION</u> £	<u>ACTUAL PAID TO 02/01/2019</u> £	<u>BALANCE TO BE SPENT</u> £	<u>NOTES</u>
04/10/2018	Beech Street Transformation Project - an additional budget to support detailed analysis and business case work as progression to a Gateway 3 report	BC	55,000	0	55,000	
15/11/2018	City purchase of new artwork, 12.18 and 10 seconds, by Carl Laubin	TC / CM	14,500	0	14,500	
			432,550	90,105	342,445	
	BALANCE REMAINING		78,450			
	TOTAL APPROVED BUDGET		511,000			
	ANALYSIS OF TOTAL APPROVED BUDGET					
	ORIGINAL PROVISION		300,000			
	APPROVED BROUGHT FORWARD FROM 2017/18		211,000			
	TOTAL APPROVED BUDGET		511,000			

NOTE: The Committee date records the actual approval meeting; in some instances approval is given for multi-year support for a project but the financial details in this table only show the expenditure due in the current year (2018/19). It should be noted that actual payments sometimes are made towards the end of a financial year.

KEY TO RESPONSIBLE OFFICER:-

DED	Director of Economic Development	TC	Town Clerk	DOC	Director of Communications
CS	City Surveyor	CH	Chamberlain	BC	Managing Director, Barbican Centre
CM	Culture Mile Director				

CAROLINE AL-BEYERTY - DEPUTY CHAMBERLAIN

POLICY AND RESOURCES COMMITTEE - CONTINGENCY 2018/2019 - 2021/2022

Date	Description	Allocation 2018/19	Allocation 2019/20	Allocation 2020/21	Allocation 2021/22
	BASE BUDGET	£ 300,000	£ 300,000	£ 300,000	£ 300,000
	+ additional allocation				
	+ balance brought forward as agreed by Committee: 15/03/2018	18,000			
	+ unspent balances deferred from 2017/18	189,000			
	+ unspent balances in 2017/18 returned to Fund	4,000			
	TOTAL BUDGET	511,000	300,000	300,000	300,000
	ALLOCATIONS				
23/01/2014	Career Fairs	62,000			
08/05/2014	City of London Scholarship - Anglo-Irish Literature	39,700			
08/05/2014	Supporting the Commonwealth (CWEIC)	37,100			
17/03/2016	Lord Mayor's Show Fireworks	-	125,000		
17/11/2016	Co-Exist House	40,000			
17/11/2016	Police Arboretum Memorial Trust - Dinner	30,000			
07/06/2018	Renewable Electricity Policy and Sourcing Strategy	25,000			
05/07/2018	Resourcing Diversity and Business Engagement	74,250			
06/09/2018	Bid from Historic Royal Palaces to support The Tower Remembers	25,000			
06/09/2018	Gresham College Funding Review	30,000			
04/10/2018	Beech Street Transformation Project	55,000			
15/11/2018	City purchase of new artwork, 12.18 and 10 seconds, by Carl Laubin	14,500			
	TOTAL ALLOCATIONS	432,550	125,000	-	-
	BALANCE AVAILABLE	78,450	175,000	300,000	300,000

Less: Possible maximum allocations from this meeting: **17 January 2019**

-	-	-	-
-	-	-	-
Balance	78,450	175,000	300,000

This page is intentionally left blank

Appendix 5

Analysis of multiyear requests

Items ending in 2018/19

Item	Overview of scheme	Annual amount £	Comment
City Matters newspaper	Placing additional full page advertisements in City Matters to promote City of London Corporation's cultural events and activities	15,600	Should this be prioritised within current local risk budgets?
City of London Advertising	Placing advertisements in CityAM to promote services provided by COL and advertising in a new newspaper, City Matters, covering the Square Mile	54,900	Should this be prioritised within current local risk budgets?
Office Space Renewal: Commonwealth Enterprise & Invest Council	Renewal of office space: provision of office space within Guildhall complex	10,000	Grant for accommodation-dependent on space within GH complex
TOTAL		80,500	

Recommendation: continue this year, but review in future years, especially as to whether can be accommodated within current local risk budgets

Support for accommodation

Item	Overview of scheme	Annual amount £	Comment
International Valuation Standards Council	CoL to support the accommodation costs of the IVSC	50,000	5 year funding
Proposed Grant to retain the Centre for the Study of Financial Innovation	CoL supporting CSFI in its continued occupancy to enable the Think Tank to remain in the City	6,635	5 year funding
TOTAL		56,635	

Analysis: These are key Policy commitments, but do funding levels remain appropriate?

Recommendation: Review funding levels. Review whether to continue support when grant comes to an end.

Political strategy

Item	Overview of scheme	Typical annual amount £	Comment
Think tank memberships	Renewal of COL's membership to Centre for the Study of Financial Innovation (£5,000); Chatham House (£14,500); Institute for Public Policy Research (IPPR - £15,000); Local Government Information Unit (LGIU - £12,000); New Local Government Network (NLGN - £12,000); Whitehall & Industry Group (WIG - £6,000); Institute for Fiscal Studies (IFS - £10,000) & Open Europe (£10,000).	84,500	Historically bid for year on year. Maintain, but ensure proportionate across political leanings, annually.

Annual events and conferences

Item	Overview of scheme	Annual amount £	Comment
Party Conferences Funding	CoL to hold private roundtables and dinners at the 2018 party conferences of the Liberal Democrats, Labour and Conservatives.	38,100	Historically bid for year on year. Maintain
London Councils Summit	The CoL is to host the annual London Councils Summit Conference	15,500	Historically bid for year on year.
Events Partnership with The Strand Group, King's College London	Events Partnership with the Strand Group, Kings College London - City of London to fund 3 events in partnership with the Strand Group	25,000	Commitment ends in 2019/20.
Sponsorship of the Wincott Foundation's 'Wincott Awards'	CoL to sponsor this annual Awards programme. The Wincott Foundation is a registered charity that supports and encourages high quality economic, financial and business journalism in the UK and internationally to contribute to a better understanding of economic issues.	4,000	Commitment ends in 2020/21. Await review of effectiveness before committing future sums
Sponsorship of Chemistry Club City	CoL to sponsor a series of high calibre networking events to enhance the Corporation's credibility in the Cyber tech and related technologies in the financial services sector.	40,000	Commitment ends in 2019/20. Await review of effectiveness before committing future sums

City Week Event Sponsorship	CoL to sponsor annual City Week Conference (2019)	25,000	Historically bid for year on year. Await review of effectiveness before committing future sums.
Battle for Ideas	CoL to sponsor the two day annual festival, organised by The Institute of Ideas, held in October 2018 at the Barbican Centre.	25,000	Await review of effectiveness before committing future sums
Sponsorship of the London Conference	CoL to sponsor the 2018 Centre for London's London Conference	12,000	Historically bid for year on year. Await review of effectiveness before committing future sums.
Centre for European Reform - Ditchley Park Conference	COL partnering with the Centre for European Reform (CER) in hosting this high-level conference taking place on 16-17 November 2018	20,000	Historically bid for year on year. Await review of effectiveness before committing future sums.
Centre for Policy Studies - Margaret Thatcher Lecture	CoL to sponsor annual conference	21,000	
TOTAL		225,600	

Recommendation: Maintain the annual party conferences, but review all other commitments for effectiveness before renewing funding.

Support for new initiatives (typically 2-3 years funding)

Item	Overview of scheme	Annual amount £	Comment
Secretariat of Standing International Forum of Commercial Crts	CoL to provide financial support for a third of the costs of the secretariat for the first 3 years	110,000 (In 2018/19)	Only three-year grant, reducing to £50k. Commitment ends in 2019/20.
One City Social Media Platform	CoL to provide financial support for a third of the costs for 3 years of this ongoing development of a new social media led platform dedicated to City workers in promoting the attractions and events held within the Square Mile	60,000	Commitment ends in 2019/20.
City of London Corporation - Engagement with	CoL to develop a 3 year rolling engagement strategy with WEF. The CPR and LM to attend the WEF	35,000	Rising to £38,000 in 2020/21.

Strategy World Economic Forum	Annual Meeting in Davos and an event in another priority market and CoL to host a WEF meeting/event in the City.		Await review of effectiveness before committing future sums.
Sponsorship of London Councils Development Guide	CoL to sponsor the London Councils Guide to Development in the City	12,000	Await review of effectiveness before committing future sums
STEM and Policy Education Programme	Funding of the Hampstead Heath Ponds Project	40,600	Historically bid for year on year. Await review of effectiveness before committing future sums.
Match Funding from The Honourable Irish Society to the National Citizenship Scheme		11,000	Commitment ends in 2020/21. Await review of effectiveness before committing future sums

Analysis: typically, these are two-three year grants with a contractual/natural cut-off.

Recommendation: review all commitments for effectiveness before renewing funding.

Major sponsor of organisation- items over £100k

Item	Overview of scheme	Annual amount £
Sponsorship to support Innovate Finance	CoL to sponsor Innovate Finance as a Lead Sponsor	250,000

Recommendation: Do funding levels remain appropriate? Review funding levels

Committee(s)	Date:
Policy & Resources – for decision	17 January 2019
Subject: Sponsorship of children’s books with Guy Fox History Project Limited	Public
Report of: Director of Communications	For Decision
Report author: Colton Richards, Senior Corporate Affairs Officer	

Summary

The City of London Corporation has been approached by Guy Fox History Project Limited (subsequently referred to as Guy Fox in the report), an educational charity, to sponsor the production of a book for children, which is to be titled ‘How the World REALLY Works: The City.’

The project, which will consist of educational workshops and visits to City sites, will explore the City and how it works, focusing on key institutions and industries that make the City a global business centre as well as the unique history and structure of the City of London Corporation. The book will be aimed at primary school children.

Sponsoring this book project accords well with the City Corporation's stated commitment of providing access to world-class education and learning opportunities, that both enrich and inspire.

Members are asked to approve sponsorship of the publication and a launch event at a cost of £42,000.

Recommendation

Members are asked to:

- Agree to sponsor the publication and launch of this children’s book with Guy Fox for £42,000 from your Committee’s 2019/20 Policy and Initiatives Fund, categorised under ‘Promoting the City and charged to City’s Cash.

Main Report

Proposal

1. Guy Fox is a London education charity with a stated mission to “create innovative activities and publications that encourage children to explore the world around them. Since 2000, they have created educational resources which have been distributed to more than 500,000 children in London and beyond.
2. The proposed book, ‘How the World REALLY Works: The City’, is the ninth in Guy Fox’s series of books exploring the world of work. Other areas that have been looked at in the series include asset management and insurance.
3. The project will involve 63 volunteers in various roles, including workshop assistants, researchers, proof-readers, ‘tour guides’ and promoters of the

projects. Recruitment of volunteers will be the responsibility of Guy Fox and will be drawn from City firms, representing a diversity of professions and backgrounds.

4. Guy Fox will work with a primary school of the City Corporation's choosing – potentially Sir John Cass – and will liaise closely with classroom teachers and school administrators to ensure the workshop activities meet the students' aptitude while challenging them. The school partner will be expected to support the classroom workshops and site visits, by facilitating parental permission for the project, site visits and providing staff to chaperone the project activities.
5. Planned site visits include taking children on two walking tours of the City. Specific sites are to be determined once sponsorship has been confirmed, but Guy Fox suggests that the Bank of England, London Stock Exchange, Lloyd's of London and the trading floor of an investment bank offer excellent learning opportunities.
6. In addition to funding, Guy Fox are requesting logistical support from the City Corporation: research and information about the City of London; introduction to the relevant contact at Sir John Cass Primary School (or other school, if chosen); use of a meeting room for volunteer training sessions; and use of a City venue for a launch event.
7. Five thousand copies of the book will be printed, with 4,000 copies distributed free of charge to Guy Fox's network of schools.
8. The proposed timeline for the project, which will run through 2019, is as follows:
 - Feb – March 2019: Research, plan workshops and liaise with school partners; train volunteers.
 - April – June 2019: Conduct eight workshops at primary school, plus two visits to key City sites.
 - June – September 2019: Design and publish the book.
 - Autumn 2019: Launch of the book
 - December 2019: Distribute the book

Implications

9. It is proposed that the required funding of £42,000 is drawn from your Committee's 2019/20 Policy Initiatives Fund, categorised under 'Promoting the City' and charged to City's Cash. The current uncommitted balance in the 2019/20 Fund is £665,865 prior to any allocation being made for any other proposals on today's agenda.

Conclusion

10. Sponsoring this book project accords well with the City Corporation's stated commitment of providing access to world-class education and learning opportunities, that both enrich and inspire. It will also ensure the City of London's rich cultural and historical resources enrich the learning experience of young Londoners, providing a first-class introduction to the City and how it works.

Committee(s):	Date:
Policy & Resources – for decision	17 January 2019
Subject: Sponsorship of the 2019 <i>London Councils Guide to Development in the City of Opportunity</i>	Public
Report of: Director of Communications	For Decision
Report author: Colton Richards, Senior Corporate Affairs Officer	

Summary

The City of London Corporation has been approached to sponsor the 2019 *London Councils Guide to Development in the City of Opportunity*. The Guide is a year-long promotional publication that will “present a story of every single council in London to investors, told by the elected representatives, from the point of view of the council and its residents.” The Guide will be published on 1st February 2019. It will receive its London launch on 7th February and its international launch at the annual property conference MIPIM on 13th March. Members are reminded that the City Corporation was the lead sponsor for the 2018 publication.

The City Corporation would be the lead sponsor for the project and two or three secondary sponsors will be secured. The Guide will be published by 3Fox International on behalf of London Councils.

Members are asked to approve sponsorship of the publication at a cost of £12,000.

Recommendation

Members are asked to:

- Agree to sponsor the *London Councils Guide to Development in the City of Opportunity* publication for £12,000 from your Committee’s 2018/19 Policy and Initiatives Fund, categorised under ‘Promoting the City’ and charged to City’s Cash.

Main Report

Background

1. The City Corporation sponsored the 2018 *London Councils Guide to Development in the City of Opportunity*. This was a highly successful publication, which was extremely well received by stakeholders and key audiences, to the extent that additional printing was required to meet demand.
2. Following on from 2018, the 2019 *Guide* is a year-long promotional publication that will “present a story of every single council in London to investors, told by the elected representatives, from the point of view of the council and its residents.”
3. Each borough will have two pages within a 76-page book, presented alphabetically, describing the characteristics, attributes and opportunities that make each London borough, and the City of London, a distinct part of the capital. Each section will

include an illustrated summary of infrastructure, housing, education and skills, jobs and employers, arts and culture and will include a contact (officer) and a key development opportunity for each borough.

4. An introductory section at the start of the Guide, from London Councils and the City Corporation, will describe prospects for London's growth and the roles of the Greater London Authority, London Councils and boroughs in delivering development. The City Corporation will have a full-page introduction.
5. The Guide will go public on 1st February 2019 and will launch in London at several events on 7th February and at the London Councils Housing Conference on 7th March, which is taking place at Guildhall. The Guide will have its international launch on 13th March at MIPIM, the property conference.
6. The Guide will be complemented by a website, reproducing the book material, which will be updated four times a year with a fresh survey of councils, in order to verify content. A quarterly newsletter will also be co-ordinated, to carry the capital's biggest development news.
7. 3Fox International will allocate 300 copies for each borough, the City Corporation and London Councils and a further 2,000 will be allocated, for sharing with key organisations.

Proposal

8. It is proposed that the City Corporation agree to sponsor the publication for £12,000. As lead sponsor, the City Corporation would have:
 - A co-introduction, from the Chair of Policy and Resources, with London Councils Executive Member for Housing and Planning. That post is currently held by Cllr Darren Rodwell, Leader of Barking and Dagenham Council.
 - Recognition in the Guide and associated promotional material through use of the City Corporation's logo.

Implications

9. It is proposed that the required funding of £12,000 is drawn from you Committee's 2018/19 Policy Initiatives Fund, categorised under 'Promoting the City' and charged to City's Cash. The current uncommitted balance in the 2018/19 Fund is £142,341 prior to any allocation being made for any other proposals on today's agenda.

Conclusion

10. Sponsoring this publication provides an opportunity for the City Corporation to support an important piece of London-wide promotion, involving all 32 boroughs. It will enable the City Corporation to be part of an important conversation and continuing to promote the capital on a domestic and international stage.

Colton Richards

Senior Corporate Affairs Officer, Town Clerk's Department
T: 020 7332 1357 E: colton.richards@cityoflondon.gov.uk

Committee(s)	Date:
Policy & Resources Committee	17 January 2018
Subject: Sponsorship of the Annual Review of the Women in Finance Charter	Public
Report of: Bob Roberts, Director of Communications	For Decision
Report author: Jan Gokcen, Corporate Affairs Officer	

Summary

In 2016, HM Treasury launched the Women in Finance Charter to promote gender balance in the financial services industry. On 12 December 2017, the City of London Corporation became a signatory of the Charter and plays a key role amongst the signatories – which now total over three-hundred.

In addition to its commitment as a signatory, last year (2017-2018) the City Corporation sponsored the annual review of the Women in Finance Charter, undertaken by the think-tank New Financial.

The opportunity for the City Corporation to also sponsor New Financials' second annual review of the Women in Finance Charter has arisen.

Sponsorship of the annual review will demonstrate the City Corporation's continued commitment to gender balance and diversity in the financial services sector. In addition, sponsorship will enable a long-term partnership with HM Treasury, which is leading the initiative, as well as with the think-tank New Financial, whose objective of promoting the need for 'bigger and better capital markets across Europe' is particularly relevant to the financial services sector.

The total sum for sponsorship of the annual review and membership of New Financial is £35,000.

Recommendation

Members are asked to approve:

- The City Corporation's sponsorship of the annual review of the Women in Finance Charter for 2018-2019 in the amount of £35,000, to be met from your Committee's 2018/19 Policy Initiatives Fund, charged to City's Cash.

Main Report

Background

1. In 2015, the then Economic Secretary to the Treasury, Harriet Baldwin MP, asked Jayne-Anne Gadhia, CEO of Virgin Money, to lead a review into the representation of women in senior managerial roles in financial services. The review looked at the issue of unequal gender representation in the financial services sector, an issue that worsens as employees are elevated into more senior positions.

2. The Government fully supported Jayne-Anne Gadhia's work and the recommendations outlined in her review. In response, HM Treasury launched the Women in Finance Charter, an initiative that asks firms to commit to implementing the four key industry actions recommended in the review.
3. HM Treasury commissioned the think tank New Financial to undertake the annual review of the Charter and organise associated events and workshops within that remit.
4. New Financial is a think tank launched in 2014 that thinks capital markets can be a force for economic and social good. Moreover, New Financial argues for bigger and better capital markets in Europe.
5. Thus far, reviews of the Women in Finance Charter have provided data and insights essential to improving gender diversity throughout the UK's world-leading financial services sector. The City Corporation's sponsorship of these reviews is therefore aligned with the 2018-2023 corporate strategy's commitment to ensure 'People have equal opportunities to enrich their lives and reach their full potential' by (amongst other things). Renewal will thus help the City Corporation 'promote and champion diversity, inclusion and the removal of institutional barriers and structural inequalities.'

Women in Finance Charter and its Annual Review

6. The Charter was launched in March 2016. As of November 2018, the Charter had 300 signatories. Most of these signatories are financial services firms including Aviva, Barclays, Deloitte, Lloyd's of London, PwC, Thomson Reuters and Virgin Money. Signatories also include public bodies such as the Bank of England, Financial Conduct Authority and HM Treasury, as well as representative bodies such as UK Finance, Innovate Finance and TheCityUK.
7. New Financial have a three-year agreement with HM Treasury to produce the annual review of the Charter. New Financial do not receive money from HM Treasury for the reviews, though both parties have agreed that New Financial can seek commercial sponsorship for the project from up to three co-sponsors. Virgin Money signed as founder sponsor in December 2016.
8. New Financial will publish the annual review of the performance displayed by the signatories to the Women in Finance Charter on 14 March 2019. The review will assess:
 - a. The progress signatories have made in the past year;
 - b. The challenges ahead and what remains to be done for signatories;
 - c. What signatories are doing to address these respective challenges.

Proposal

9. It is proposed that the City Corporation agree to sponsor the 2018-2019 annual review for £35,000.
10. Continued sponsorship of the Women in Finance Charter annual review will, in turn, be assessed on an annual basis.
11. The City Corporation will obtain the following benefits through sponsorship of the Women in Finance Charter:
 - a. A clear, public and long-term contribution to the debate on improving gender diversity across all sectors of the financial services industry;
 - b. Corporate branding as a co-sponsor of the main annual review and any related research report, on our website, and on related marketing material;
 - c. Permission to use the report(s) as the basis for thought leadership and marketing concerning diversity;
 - d. Annual membership of New Financial, including access to research, invitations to high profile events and private roundtables. Speakers at events organised and hosted by New Financial include Jes Stayley, Group Chief Executive of Barclays; Steven Maijoor, Chairman of European Securities and Markets Authority; Nicky Morgan MP, Chair of the Treasury Select Committee, and others.

Implications for the City Corporation

12. Sponsorship of the 2018-2019 annual review of the Women in Finance Charter will support and further enhance the City Corporation's activities in and commitment to promoting diversity and inclusion, as well as providing annual membership of New Financial, a think-tank that promotes the need for 'bigger and better capital markets across Europe'.
13. Sponsorship of this review will demonstrate that:
 - a. The City Corporation is supporting a HM Treasury-led initiative;
 - b. The City Corporation is supporting New Financial, a think tank that concerns topics of particular relevance to UK financial services;
 - c. The City Corporation is committed to gender balance within the financial services industry;
 - d. The City Corporation is supporting its Equality Objectives for 2016-2020;
 - e. The City Corporation is working with firms, public bodies and representative organisations that it works that are also signatories of the Charter.

14. It is proposed that funding of £35,000 be met from the 2018/19 Policy and Resources Committee Policy Initiatives Fund, categorised under “Events” and charged to City’s Cash.
15. The current uncommitted balance available within the Policy and Resources Policy Initiatives Fund for 2018/19 amounts to £142,341. This sum is prior to any allowances being made for any other proposals made by urgency.

Conclusion

16. Sponsoring the 2018-2019 annual review of the Women in Finance Charter is an opportunity for the City Corporation to continue to engage with HM Treasury and all signatories to the Charter, and to demonstrate the City Corporation’s commitment to gender diversity.

Jan Gokcen

Corporate Affairs Officer

Town Clerk’s Department

Jan.Gokcen@cityoflondon.gov.uk

020 7332 1426

Committee(s) Policy and Resources	Date: 17 January 2019
Subject: Sponsorship of the CPS Margaret Thatcher Conference on Britain and America – May/June 2019	Public
Report of: Director of Communications	For Decision
Report author: Meghan Green, Corporate Affairs Officer	

Summary

The Centre for Policy Studies (CPS) is a leading think tank whose goal is to promote coherent and practical public policy to facilitate a more streamlined state, while countering threats to the UK's sovereignty.

The CPS is hosting the Margaret Thatcher Conference on Britain and America in London in May/June 2019. The half-day event will bring together a number of senior politicians, businesspeople and experts for a series of set-piece speeches, high-level panel discussions, a reception and a dinner.

The 2019 conference will focus on Britain and America's vital yet complex relationship. The aim is to examine its current state, forty years on from the beginning of the Thatcher and Reagan era, and asking what can be done to strengthen it in these very different times. The conference will explore the economic and diplomatic relationship between these two countries.

The proposal is to sponsor this event, as we have in 2017 and in 2016. We would seek a total of £20,000 to cover the room hire in Guildhall.

Sponsorship of the event will provide the City Corporation with a speaking slot, a high-profile hosting opportunity and exposure. This will also be an occasion for the City Corporation to work with leading international thinkers from both the UK and United States.

Recommendation(s)

That the City of London Corporation supports the CPS Margaret Thatcher Conference on Britain and America in May/June 2019 at a cost of £20,000 funded from your Committee's 2019/20 Policy Initiatives Fund, categorised under "Events" and charged to City's Cash.

Main Report

Background

1. The CPS has particularly strong, historical links to the Conservative Party. It was founded by Sir Keith Joseph and Margaret Thatcher in 1974 to champion economic liberalism in Britain. CPS's chair is Lord Saatchi, and its director is Robert Colville. The City Corporation has worked with CPS since 2008. A number of successful events and research pieces have come out of this partnership.

2. Recent collaborations include: co-hosting both a panel discussion and a roundtable at Conservative Party Conference in October 2018 (notable speakers included City Minister John Glen MP, Bim Afolami MP and Claire Perry MP); sponsorship of the Margaret Thatcher Conference on China in 2018 (speakers included Lord Saatchi, Chairman of Centre for Policy Studies and Mark Tucker, Chairman of HSBC); a keynote lecture with the former Chancellor George Osborne MP in 2016 and with the former Mayor of London Boris Johnson in 2013. Guildhall hosted the very first CPS conference on liberty in June 2014 and worked with CPS on a fringe meeting at the 2015 Conservative Party Conference featuring Harriet Baldwin and Roger Bootle.
3. The topic of next year's conference is particularly relevant given that the Chair of Policy & Resources Committee visits the USA biannually. She is also Chair of the *US-UK Financial and Related Professional Services Coalition*, which is a collaborative effort between US-UK financial services to engage with both governments on bilateral trade and regulatory issues.

Proposals

4. The CPS is hosting its fourth *Margaret Thatcher Conference on Britain and America* in London in May/June 2019. The half day conference will bring together a number of world-renowned figures for a series of set-piece speeches and high-level panel discussions. It will conclude with a dinner for sponsors, speakers and key guests.
5. The conference will focus on the current relationship between Britain and America, forty years on from the beginning of the Thatcher and Reagan era, and seek ways to strengthen the economic and diplomatic relationship within the context of today's politics. Both the 2016 and 2017 conferences sponsored by the City Corporation were very successful and enjoyed a worldwide impact.
6. The work of the CPS and this conference accord well with the role of the City Corporation in strengthening commercial and political ties between Britain and the United States, particularly given that both the Chair of Policy & Resources Committee and the Lord Mayor have visited the US in 2018. the conference will also attract a high-level audience of politicians, diplomats and MPs from the UK, America and beyond, as well as attracting significant media coverage.

Implications

7. It is proposed that the required funding of £20,000 is drawn from your Committee's 2019/20 Policy Initiatives Fund categorised under 'Events' and charged to City's Cash. The current uncommitted balance in the 2019/20 is £665,865 prior to any allowance being made for any other proposals on today's agenda.

Conclusion

8. The proposed sponsorship of the CPS US-UK Conference accords well with the role the City Corporation plays in promoting debate on key policy issues that affect the City and London as a whole.

Meghan Green

Corporate Affairs Officer

T: 0207 332 1954 E: meghan.green@cityoflondon.gov.uk

Committee(s) Public Relations and Economic Development (PRED) Sub Committee – For information Education Board – For information Policy and Resources Committee – For decision	Dated: 08/01/2019 10/01/2019 17/01/2019
Subject: Summer Enrichment Pilot – Proposal	Public
Report of: Andrew Carter – Director of Community and Children’s Services Report Author: Sufina Ahmad – Corporate Strategy Manager	For Information

Summary

There is strong evidence that pupils from all backgrounds tend to make comparable achievement gains during the school year. However, during the long summer holiday, pupils experiencing poverty and socio-economic disadvantage are proven to make more significant learning losses, than their more advantaged counterparts, and this is exacerbated for pupils experiencing summer hunger. Summer enrichment programmes, which are a combination of learning, enrichment and meals, have been proven to work effectively in tackling summer learning loss and summer hunger.

This paper outlines a proposal for Member consideration of a summer enrichment pilot tackling summer learning loss and summer hunger for pupils and young people during August 2019. The pilot costs are estimated at **£100,000**, and delivery will take place at the City of London Academy Highbury Grove (CoLAHG), alongside additional outreach provision in Islington, aimed at up to 400-450 children and young people. A learning evaluation would be attached to the pilot to assess its effectiveness, which would also support the City Corporation to determine if permanent ongoing resource should be sought. This proposal has been co-designed between the Department for Community and Children’s Services (DCCS), City Bridge Trust (CBT), Economic Development Office (EDO), Town Clerk’s and the Mayor’s Fund for London.

This pilot would be delivered as part of a wider portfolio of activity concerned with the City of London Corporation’s (City Corporation) Social Mobility Strategy for 2018-28. It would particularly support the outcome in the strategy which states that *‘Opportunity is accessed more evenly and equally across society’*, by removing barriers, overcoming gaps and improving access and participation in order to improve attainment for our pupils experiencing disadvantage or poverty.

Recommendations

Members of the Public Relations and Economic Development are asked to:

- i. Approve the proposal outlined in this report; and
- ii. Recommend a way forward for gaining £100,000 of funding for this pilot, including if Policy Initiatives Funding could be sought.

Main Report

Background

1. In September 2018, the City Corporation's Social Mobility Strategy for 2018-28 was agreed by P&R Committee. Its vision is '*People enjoy a society where individuals from all socio-economic backgrounds can flourish and reach their full potential*', with an aim '*to bridge and reduce the social and economic divides that may be experienced by people during their lifetime, by maximising and promoting social mobility within businesses, organisations, central and local government and educational and cultural institutions*'.
2. Since the strategy's approval, meetings have been held to explore the potential need for a summer enrichment pilot aimed at tackling summer hunger and summer learning loss, whilst also supporting summer enrichment activities. These meetings have been held with Sir Mark Boleat, who raised this issue at P&R and PRED in September 2018, the Mayor's Fund for London who are leading on a Summer Hunger programme called Kitchen Social in partnership with London's voluntary and community sector, and colleagues internally from DCCS, CBT, EDO and Town Clerk's. Input has also been received from Professor Greta Defeyter at Northumbria University, who has conducted much research into summer schools and their effectiveness – particularly those that focus on tackling summer hunger and summer learning loss. Finally, light desktop research into this issue has been conducted, including a high-level review of the UK Government's thinking on this, which included the launch of a £2 million fund by the Children and Families Minister in the Department for Education for pilots to provide free holiday activities and meals to disadvantaged families in the 2019 Easter and summer holidays. This decision was motivated by evidence showing the positive impact of such provision on children's educational, health and wellbeing outcomes, as well as continued campaigning on the summer hunger issue by Frank Field MP.
3. It is well-evidenced and supported that pupils from all backgrounds tend to make comparable achievement gains during the school year. However, during the long summer holiday, pupils experiencing poverty and socio-economic disadvantage are proven to make more significant learning losses than their more advantaged counterparts. This summer learning loss is due to a paucity of stimulating and enriching summer activities, resources and investments for pupils, and tends to be exacerbated by summer hunger – again something that most impacts pupils on FSM and/or those experiencing other forms of poverty and disadvantage. This means that despite comparable gains throughout the school year, the starting point for all pupils is not equal to begin with.

Current Position

4. A number of the City Family of Schools already provide a variety of summer schools, which are funded through the City Premium Grants the school receives. This pilot proposal would be aimed at schools that do not already have such provision in place, and so will not compete with the City Corporation's existing provision.

Options

5. There are many potential approaches to delivering a successful summer school. Some offer residential and non-residential options to pupils, some take place outside of school premises, in order to attract those pupils that are least likely to engage with the school environment outside of term-time, some are subsidised or free for all with no means testing in order to attract a mixture of pupils from different economic backgrounds, and some are run by schools with others run by independent third parties or charities. Often summer schools aim to address one of the following key issues – not all of them: summer hunger, summer enrichment and summer learning.

Proposals

6. Members are asked to consider a summer enrichment pilot programme that seeks to pilot approaches which involve tackling summer learning loss, summer hunger and summer enrichment activities. The key successes that the pilot would seek to embed include:
 - A varied range of activities that attract a diverse range of pupils from different socio-economic backgrounds, in order to support summer learning, summer hunger and enrichment, such as cooking classes, sports, meaningful exposure to the world of work, access to and enjoyment of cultural activities etc.
 - Implementation of the 4x4x4 formula, meaning: four hours a day, four days a week, for four weeks.
 - Setting stretch targets for the number of pupils engaging with the pilots and the duration of their commitment, e.g. 50% attendance.
 - A real focus on attracting pupils experiencing the most socio-economic disadvantage and involving families in order to further catalyse a whole family approach to education.
7. CoLAHG has been chosen as a test site for the pilot, as 70% of its pupils are on pupil premium, over half are on free school meals and the school has confirmed that it is keen and willing to deliver a summer enrichment programme. CoLAHG's pilot will be designed and delivered by the teachers and staff at the school and will be aimed predominantly at approximately 200 CoLAHG pupils – however access will be given to the siblings of these pupils who do not already attend CoLAHG and do not have access to provision at their own school.
8. There is a range of research suggesting that the most socio-economically disadvantaged pupils and their families are less likely to engage with schools during the summer. Therefore, a partnership will also be sought in Islington to develop and deliver an outreach summer enrichment pilot, which is based in the community. The City Corporation will work with schools, including our schools in Islington, Islington Giving, Cripplegate Foundation, the Mayor's Fund for London and CBT to design and deliver this pilot – with schools convening these conversations. Currently there are four providers that the Mayor's Fund for London works with in Islington to deliver summer hunger projects. As part of the scoping and design work, consideration can also be given to completing this pilot in City Academy Hackney, as a school-based pilot – if the budget allows.

9. Conducting this as a test and learn pilot, which includes a school-based and non-school-based project enables the City Corporation to test the effectiveness of the interventions trialled, including: take up and retention levels on the pilot, if it acted as a catalyst for improving engagement with families as part of the City Corporation's whole family approach to education and a cost benefit analysis of the intervention. The findings will be used to determine if the pilot should be continued and extended to other schools and assessing if it should be run by the City Corporation or through an independent provider. City Corporation findings would also seek to add to the evidence base forming in London, which the Mayor's Fund for London are coordinating, in order to support the advocacy work that is happening in this space at a local, regional and national level.

Resourcing Implications

10. To deliver and evaluate this pilot successfully, £100,000 of funding is requested. This would cover all staffing, venue and activity costs for the design and delivery of both the Islington outreach pilot and the CoLAHG school-based pilot, as well as the costs associated with evaluating the pilot and the officer resource provided by DCCS, CBT and Town Clerk's to support this pilot. In total up to 400-450 children and young people would benefit from the pilots. The costing breakdowns estimates include:

	CoLAHG	Islington Outreach
Catering Staff	£5,000	£5,000
Teaching Staff	£18,000	£10,000
Venue Costs	£1,600	£1,400
Events/Activities	£10,000	£10,000
Training	£3,000	£3,000
Meals	£7,000	£5,000
On-Costs/Preparatory Work	£5,000	£5,000
Promotion/Contingency	£3,000	£3,000
Learning and evaluation	£2,500	£2,500
TOTAL	£55,100	£44,900

Corporate & Strategic Implications

11. Resourcing would need to be identified and confirmed soon, in order for this pilot to be planned and then delivered successfully in July or August 2019. One possible option is to seek Policy Initiatives Funding. The pilot would be a key new activity linked to the Social Mobility Strategy for 2018-28, which has an outcome that states that *'Opportunity is accessed more evenly and equally across society'*, by removing barriers, overcoming gaps and improving access and participation in order to improve attainment for our pupils experiencing disadvantage or poverty. It also links to outcome 3 in the City Corporation's Corporate Plan for 2018-23, which states that *'People have equal opportunities to enrich their lives and reach their full potential'*.

Conclusion

12. Members are asked to approve and endorse the Summer Enrichment Pilot and associated funding proposed in this paper – providing feedback, steer and support.

Appendices

None

Background Papers

None

Sufina Ahmad

Corporate Strategy Manager

T: 020 7332 3724 (Int. Ext. 3724)

E: sufina.ahmad@cityoflondon.gov.uk

This page is intentionally left blank

Committee(s) Public Relations and Economic Development (PRED) Sub Committee – For information Policy and Resources Committee – For decision	Dated: 08/01/2019 17/01/2019
Subject: The City of London Corporation's Social Mobility Strategy for 2018-28 – Progress Update.	Public
Report of: David Farnsworth – Chief Grants Officer and Director, City Bridge Trust	For information
Report Author: Sufina Ahmad – Corporate Strategy Manager	

Summary

This paper provides a progress update on the City of London Corporation's (City Corporation) work on social mobility. The update is aligned to the City Corporation's Social Mobility Strategy for 2018-28, which was signed off by the Policy and Resources (P&R) Committee in September 2018. The strategy's vision is: *'People enjoy a society where individuals from all socio-economic backgrounds can flourish and reach their full potential'*. The strategy's aim is *'to bridge and reduce the social and economic divides that may be experienced by people during their lifetime, by maximising and promoting social mobility within businesses, organisations, central and local government and educational and cultural institutions'*.

In the last year, the key updates are:

- a) The City Corporation has agreed a Social Mobility Strategy for 2018-28, alongside a network of strategies which are all committed to improving social mobility outcomes. These strategies were approved in the last 18 months and include: Apprenticeships, Bridging Divides, Corporate Volunteering, Cultural, Cultural and Creative Learning, Culture Mile, Culture Mile Learning, Digital Skills, Education, Employability, Housing, Joint Health and Wellbeing, Philanthropy, Responsible Business, Skills and Social Wellbeing.
- b) A mapping exercise has been undertaken to identify existing and planned City Corporation activities that support social mobility, and how these relate to the outcomes, high level activities, enablers and stakeholder groups identified in the Social Mobility Strategy.
- c) The mapping exercise has also identified potential areas that the City Corporation may wish to pursue and commit funding to in the near future, including a Summer Enrichment Pilot for 2019. A separate paper providing more detail on this proposal is being submitted as part of this Committee meeting also.
- d) The City Corporation committed £35,000 of Policy Initiatives Funds (PIF) to sponsor the 2019 Social Mobility Employer Index (SMEI), as well as in kind support for the launch event. The SMEI benchmarks organisations on the action they take

to attract, recruit, retain and progress people from socio-economically disadvantaged backgrounds.

- e) Human Resources (HR), as part of their HR Transformation Programme, are implementing changes to the City Corporation's recruitment and learning and development processes, including creating more apprenticeship opportunities, anonymised recruitment and delivering the City Learning Academy.

Recommendations

Members are asked to:

- i. Note the content of this report.

Main Report

Background

1. In September 2018, the City Corporation's Social Mobility Strategy for 2018-28 was agreed by P&R Committee. The strategy builds on the City Corporation's existing expertise and successes relating to social mobility, with the main focus areas of activity relating to education, skills, housing, homelessness, health and wellbeing, culture, philanthropy and employability. It will also be reflected in the work of CBT's charitable funding strategy, Bridging Divides. Its vision is *'People enjoy a society where individuals from all socio-economic backgrounds can flourish and reach their full potential'*, with an aim *'to bridge and reduce the social and economic divides that may be experienced by people during their lifetime, by maximising and promoting social mobility within businesses, organisations, central and local government and educational and cultural institutions'*. A one-page Executive Summary of the strategy is included at **Appendix One**.

Current Position

2. The key updates to highlight to this Committee are:

Social Mobility Strategy Mapping Exercise

- a) The Social Mobility Strategy is part of a wider network of corporate strategies at the City Corporation, which if implemented successfully will all improve and progress social mobility for residents, learners, workers and visitors. These strategies have been approved in the last 18 months and include: Apprenticeships, Bridging Divides, Corporate Volunteering, Cultural, Cultural and Creative Learning, Culture Mile, Culture Mile Learning, Digital Skills, Education, Employability, Housing, Joint Health and Wellbeing, Philanthropy, Responsible Business, Skills and Social Wellbeing.

A mapping exercise has been undertaken to identify existing and planned City Corporation activities that support social mobility, and how these relate to the outcomes, high level activities, enablers and stakeholder groups

identified in the Social Mobility Strategy. A summary of the findings from this mapping exercise has been included at **Appendix Two** – and the full mapping Excel spreadsheet is available to elected Members upon request.

80 current activities have been identified, with a further 12 new activities planned – over half of which relate to outcomes 1 and 2 in the strategy, 18 are aimed at our pupils, 15 at our residents, 14 at organisations and nine at City Corporation employees. 59 of the activities are focussed on London and 47 of the activities are being led on by DCCS.

The mapping exercise has identified potential areas that the City Corporation may wish to pursue and commit funding to in the near future, including a Summer Enrichment Pilot for 2019. A separate paper providing more detail on this proposal is being submitted as part of this Committee meeting also.

Social Mobility Employer Index

- b) The City Corporation committed £35,000 of PIF to sponsor the 2019 SMEI, which benchmarks organisations on the action they take to attract, recruit, retain and progress people from disadvantaged backgrounds and is run by the Social Mobility Foundation (SMF). The top 50 employers who have taken the most action on social mobility in the workplace were published at a results event hosted at City of London Corporation on 11 July 2018, and the Chairman of P&R, Catherine McGuinness, spoke at the event alongside the Social Mobility Foundation CEO, David Johnston, and Rt Hon Alan Milburn, former Chairman of the Social Mobility Commission. The event was attended by 180 attendees from 88 businesses. It picked up press coverage in 18 media articles, including Accountancy Age, Economia, The Times and Forbes. The YouTube video created for the event had 141 views. Across social media there were 2,413 LinkedIn impressions and 24,411 Twitter impressions.

106 businesses participated in the Index this year, an increase from 98 last year. 7 FPS firms were in the top 10, the same as last year, and 29 FPS businesses were in the top 50, this was 30 last year.

As sponsor, the City Corporation entered the SMEI anonymously, with the option to publish our position – with only the top 50 publicly announced. The City Corporation ranked 66 out of the 106 organisations that submitted. The City Corporation was commended for its work on engaging with schools with high levels of Free School Meals and work experience provision. It was also commended for its high number of apprenticeships with minimum academic requirements.

To improve our score, the City Corporation was encouraged to collect and monitor data on the socio-economic backgrounds of employees and applicants and ensure that apprenticeship opportunities offer progression routes. This is not a function of the current HRMI system and will be added to the specification of the new system, which is at the pre-soft-marketing

procurement stage and will be up and running in 2022/23. The current provider is aware that this is something the City Corporation would like developed and may offer this sooner - subject to wider customer demand.

As part of the SMEI work, the SMF distributed an employee survey to the City Corporation's 4000 employees, of which 13% took part. Respondents were asked which social class they identified as belonging to, 49% identified as working class, 45% identified as middle class and 6% identified as upper class. The staff survey revealed 71% of employees feel the City Corporation is open to talent from all backgrounds and 76% feel comfortable discussing their class background. However, 39% feel people get ahead within the City Corporation based on who they know and 45% were not sure senior leadership is committed to improving socio-economic diversity.

Human Resources Transformation Programme

- c) Human Resources (HR), as part of their HR Transformation Programme, and their Attracting Talent Project specifically, are aiming to reduce barriers to entry and create a more diverse workforce, support workforce plans and succession planning and review and update the City Corporation's employer brand. Key successes from this programme in the last few months have included sign off on the employer brand proposal by Establishment Committee in September 2018, the continuation of the City Corporation's Apprenticeship programme, which now includes plans for delivering the City Learning Academy, a complete review of the City Corporation's learning and development activities and the phased introduction of anonymised recruitment practices.

Corporate & Strategic Implications

- 3. With 80 activities identified, and a further 12 in the planning stages, it is clear that the City Corporation's possesses real depth of ambition to promote and implement activities that facilitate better social mobility with our resident and worker populations, businesses in the City, the charities and good causes we support through our charitable funding, learners across our family of schools and through the other stakeholder groups we work with regularly including government and policy makers.
- 4. Current social mobility activities are being delivered using existing resources, including officer time and expertise, within DCCS, the City family of schools, Town Clerk's, CBT, Central Grants Unit (CGU), HR, Economic Development Office (EDO), Culture Mile and wider City Corporation cultural services. The Corporate Strategy Manager in Town Clerk's is developing a full implementation plan for the strategy, as one facet of their role.
- 5. The Social Mobility Strategy sets out a 10-year commitment by the City Corporation to harness our many and varied cross-sectoral assets and networks to deliver positive social mobility outcomes. It is written at a time when the City Corporation has a range of different departments and different strategies all pushing ahead to

improve social mobility outcomes across their stakeholder networks. It also represents a key way in which we can deliver the vision set out in the City Corporation's Corporate Plan for a '*vibrant and thriving City, supporting a diverse and sustainable London within a globally successful UK*' and our strategic aims to *contribute to a flourishing society and support a thriving economy*.

6. Failure to deliver on this high-profile and truly cross-cutting strategy, which has also been championed externally by the Social Mobility Commission, could result in reputational damage, reduced impact against our Corporate Plan outcomes and a loss of trust in the City Corporation's ability to shift the dial on key social and policy issues of the day.

Conclusion

7. Members are asked to note the updates relating to social mobility set out in this paper, which are being delivered by a range of City Corporation departments. All existing and planned activities will now be viewed through the lens of the recently approved Social Mobility Strategy for 2018-28, which seeks to encourage collaboration internally and externally and to harness the City Corporation's values and assets in pursuit of individuals, families and groups progressing within a society to reach their full potential.

Appendices

Appendix One – Executive Summary of Social Mobility Strategy, 2018-28.

Appendix Two – Social Mobility Mapping Exercise High Level Analysis.

Background Papers

The City of London Corporation's Social Mobility Strategy for 2018-28 – September 2018.

Sufina Ahmad

Corporate Strategy Manager

T: 020 7332 3724 (Int. Ext. 3724)

E: sufina.ahmad@cityoflondon.gov.uk

APPENDIX ONE: Social Mobility Strategy, 2018 – 28: *Potential today, success tomorrow*

Executive Summary

Our definition of social mobility

The ability and opportunity for individuals, families or groups to progress within a society to reach their full potential – in terms of income, education, employment, perceived social status, housing and place/postcode.

Why us

The City of London Corporation is committed to championing social mobility throughout our work internally and with our unique combination of stakeholders spanning the private, public, charitable and community sectors across the Square Mile, the City, London, the UK and beyond. Social mobility is a key organisational priority outlined in our Corporate Plan (CP) for 2018-23, which will help to deliver our aims to contribute to a flourishing society and support a thriving economy.

Who we will work with

Our resident and worker population, businesses in the City, the charities and good causes we support through our charitable giving, our learners across our family of schools and cultural institutions, government and policy makers.

Our Vision

People enjoy a society where individuals from all socio-economic backgrounds can flourish and reach their full potential.

Our Aim

To bridge and reduce the social and economic divides that may be experienced by people during their lifetime, by maximising and promoting social mobility within businesses, organisations, central and local government and educational and cultural institutions.

Our Outcomes

Everyone can develop the skills and talent they need to thrive. <i>Links to CP Outcome 3</i>	Opportunity is accessed more evenly and equally across society. <i>Links to CP Outcome 3</i>	Businesses and organisations are representative and trusted. <i>Links to CP Outcome 8</i>	We role model and enable social mobility in the way we operate as an organisation and employer. <i>Links to CP Outcome 5</i>
---	---	--	---

Our Activities

<ul style="list-style-type: none"> Prepare our learners for the jobs of the future. Raise educational and employment aspiration and attainment. 	<ul style="list-style-type: none"> Remove barriers, overcome gaps and improve access and participation in order to improve attainment. Support and deliver social action, social integration, networking and understand the impact of conscious and unconscious biases. 	<ul style="list-style-type: none"> Promote and encourage the need for and benefits of social mobility across business and government. Support organisations, government and policy makers to improve their own practices and leadership to facilitate social mobility. 	<ul style="list-style-type: none"> Identify and address barriers to employment and progression inclusively. Review our organisational working practices to ensure that these do not act as barriers to social mobility. Champion equality, diversity and inclusion.
---	---	--	--

Our Success Measures

Over the next 10 years, we will be a valued advocate and thought leader for social mobility, committing to collaboration, partnership work, innovation and longitudinal evaluation, to ensure equality of opportunity for all and the removal of structural inequalities and barriers within our own organisation and beyond. We won't just work to level the playing field, we will make it fairer too.

Appendix Two – Social Mobility Mapping Exercise High Level Analysis.

Geography covered, lead departments and stakeholder groups

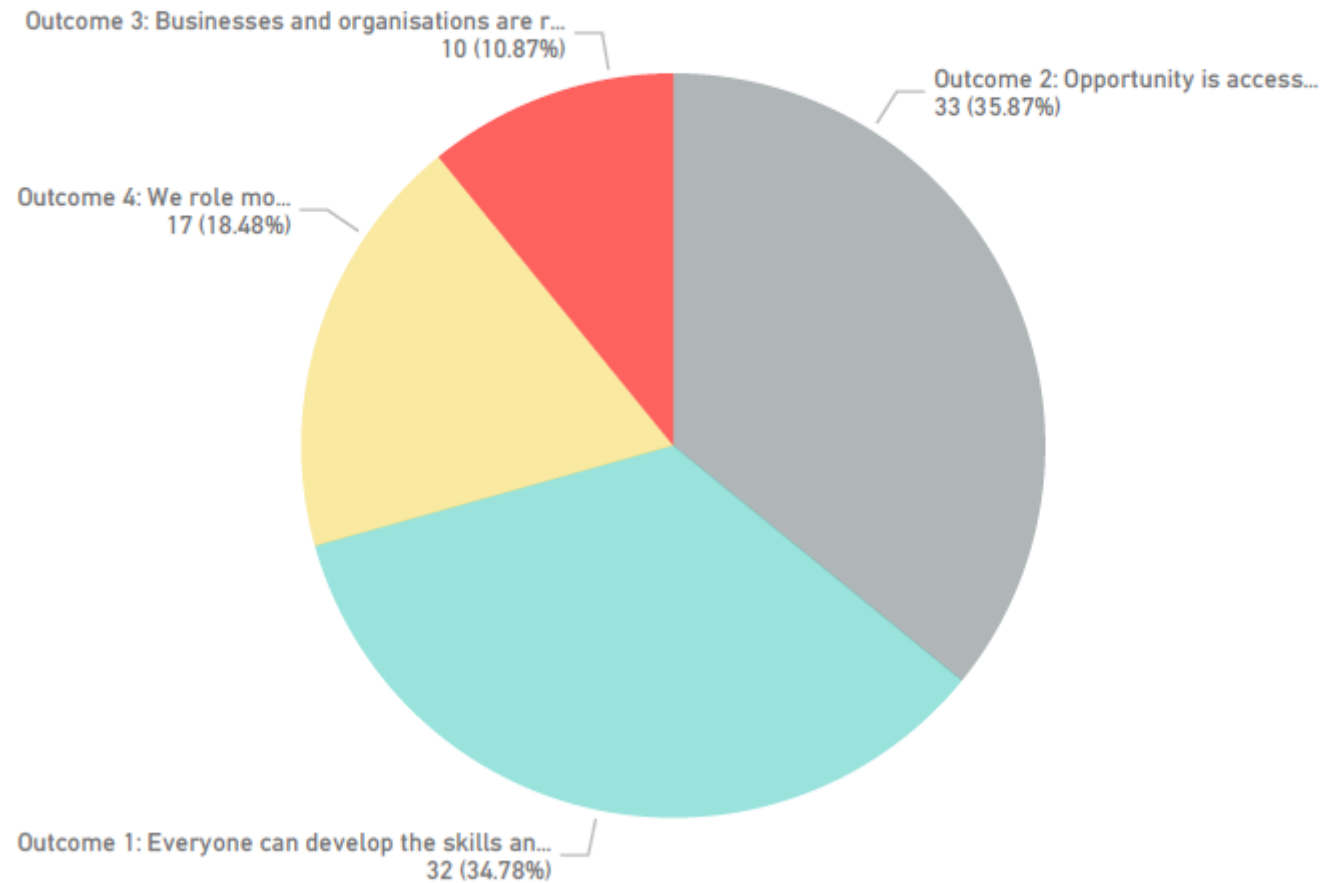
Geography covered by activity	Count of Reference
Global	5
London	59
Square Mile	24
UK	4
Total	92

Lead Department/s	Current	New	Total
CBT	9	1	10
Central Grants Unit	3		3
Central Grants Unit and Culture Mile	1		1
Culture Mile	2	1	3
DCCS	47		47
DCCS (And Culture Mile)	1		1
EDO	5		5
HR	8		8
Town Clerk's	4	10	14
Total	80	12	92

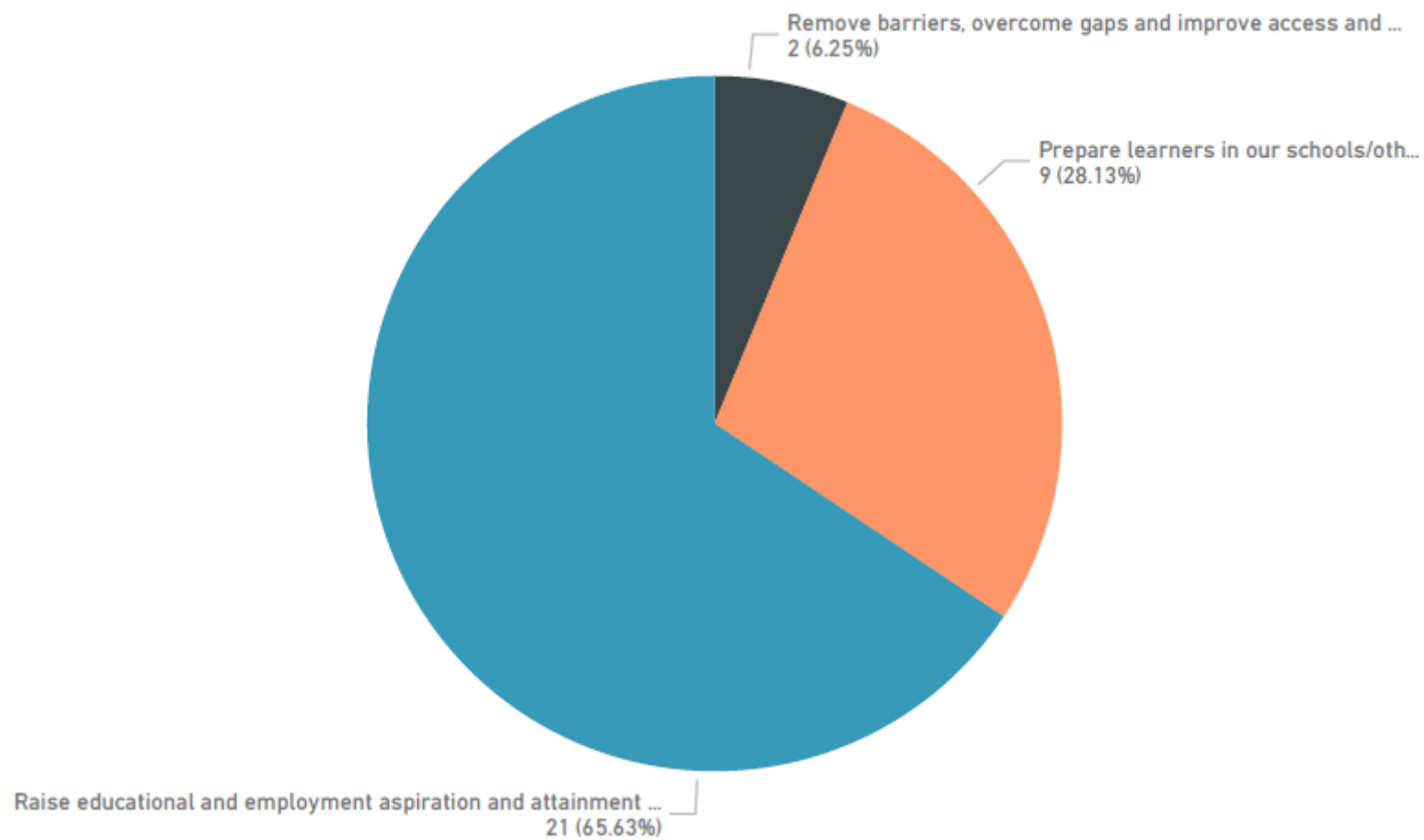
Stakeholder Group	Count of Reference
Our pupils	24
Organisations	18
Our residents (Square Mile)	17
Our employees	11
Employers	4
Our learners	4
Workers (Square Mile - FPS)	4
Our elected Members	2
Our residents (Non Square Mile)	2
Policy makers	2
Workers (Square Mile - lower pay)	2
Our staff diversity networks	1
Our volunteers	1
Total	92

How the activities relate to each outcome

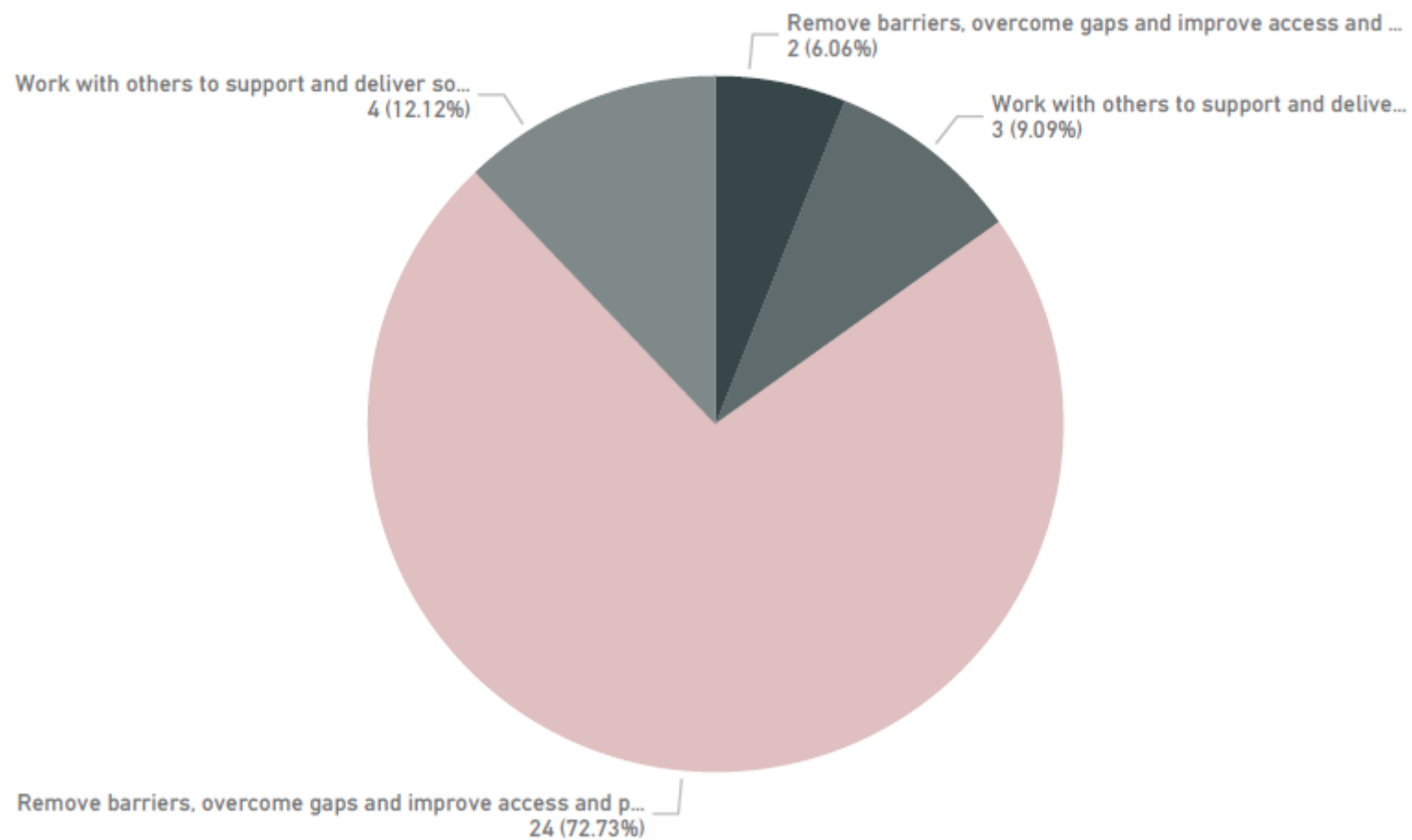
Outcome 1 (32 activities); Outcome 2 (33 activities); Outcome 3 (10 activities); Outcome 4 (17 activities).



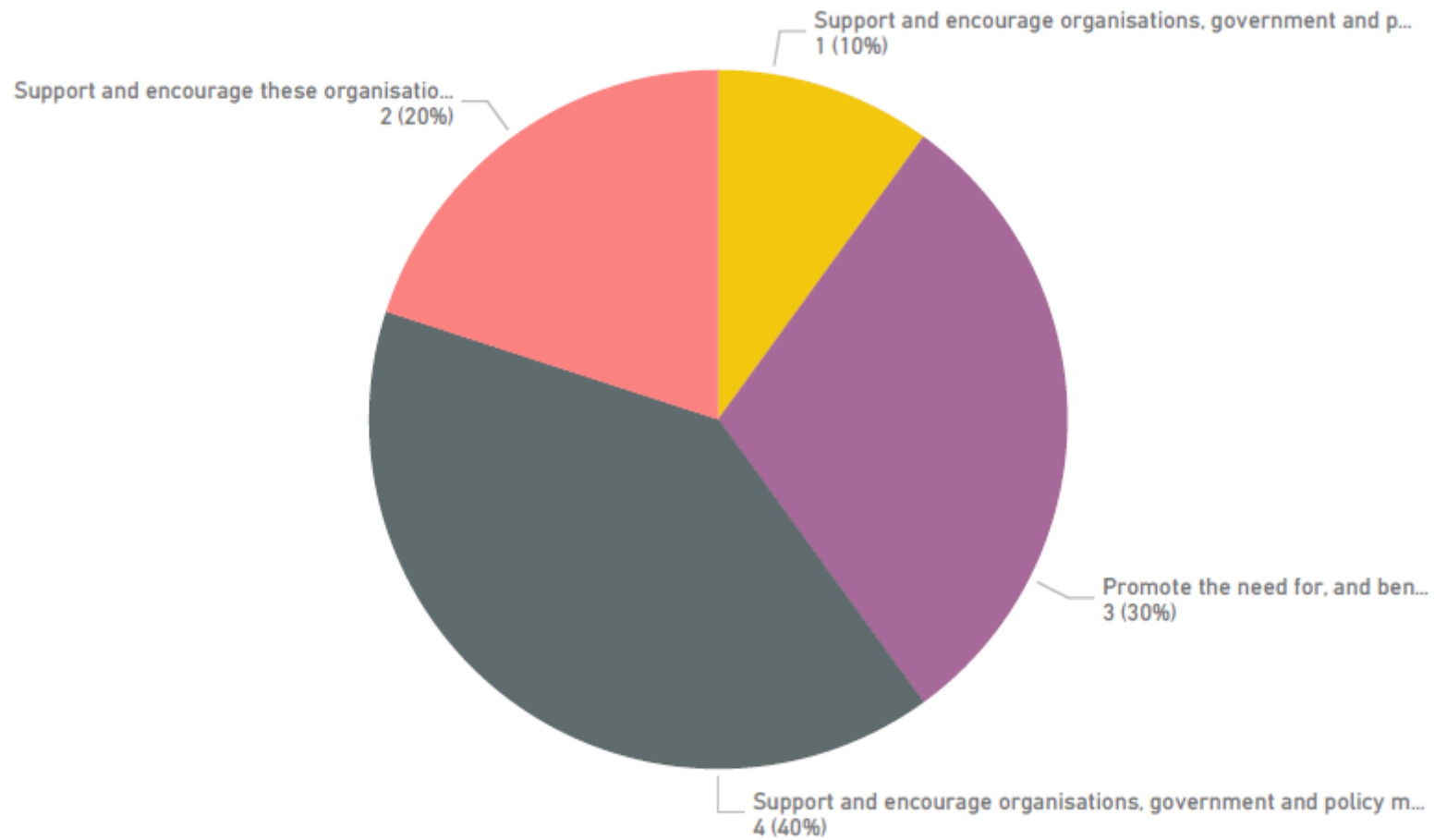
Outcome 1 – Number of activities relating to each high-level activity



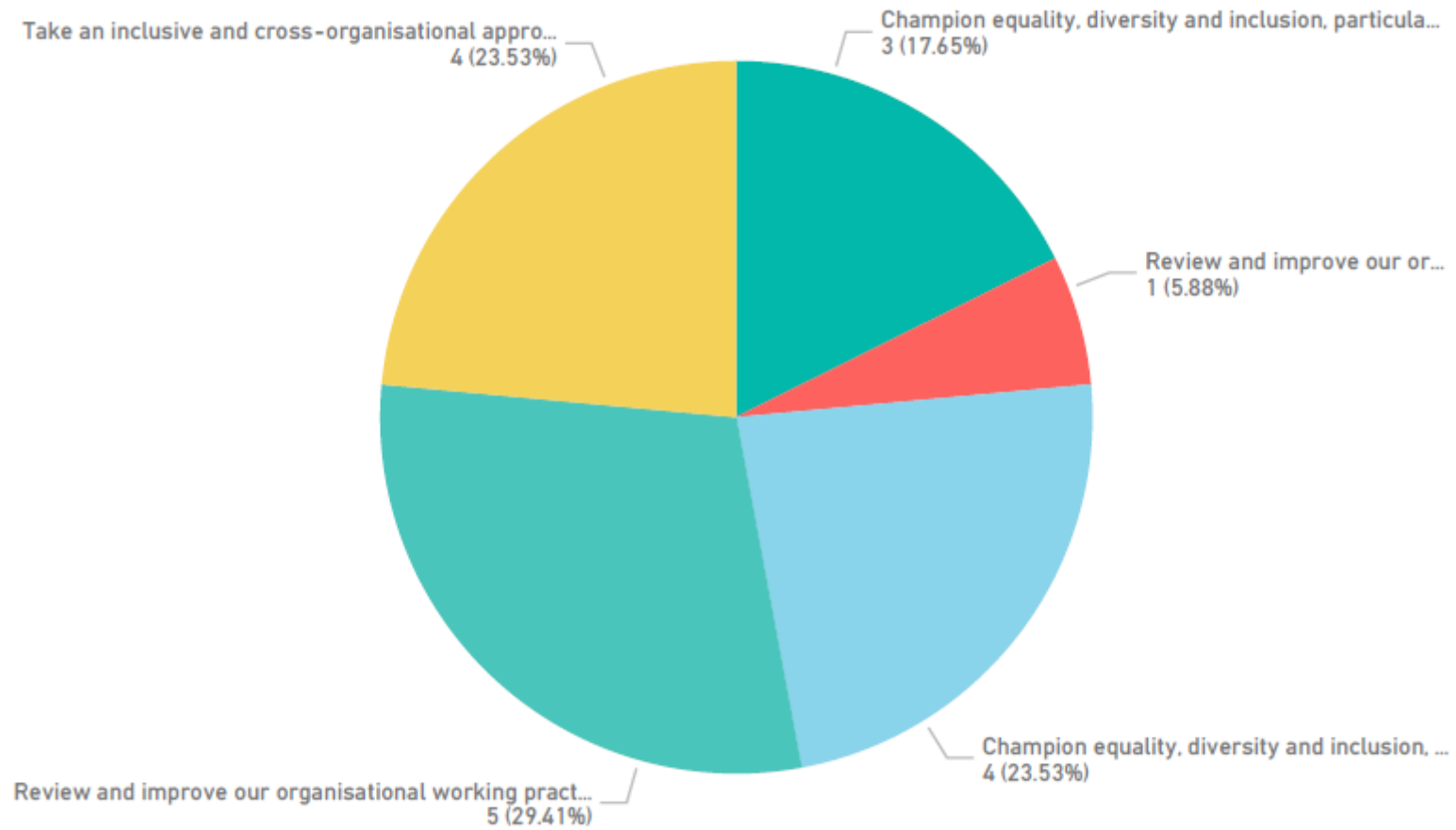
Outcome 2 – Number of activities relating to each high-level activity



Outcome 3 – Number of activities relating to each high-level activity



Outcome 4 – Number of activities relating to each high-level activity



Primary strategy that each activity relates to, other than the Social Mobility Strategy

Primary Strategy	Number of activities
Education	15
Cultural and Creative Learning	9
Cultural	8
Responsible Business	8
Equality and Inclusion Action Plan	7
Bridging Divides	6
Housing	5
Culture Mile Learning	5
Joint Health and Wellbeing	5
Philanthropy	4
Employability	4
Apprenticeships	4
Children and Young People's Plan	3
Digital Skills	3
Skills	3
Corporate Volunteering	2
Culture Mile	1

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

Document is Restricted

This page is intentionally left blank